

# Modal FRP For All

Functional Reactive Programming Without Space Leaks in Haskell

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Functional reactive programming (FRP) provides a high-level interface for implementing reactive systems in a declarative manner. However, this high-level interface has to be carefully reigned in to ensure that programs can in fact be executed in practice. Specifically, one must ensure that FRP programs are productive, causal and can be implemented without introducing space leaks. In recent years, modal types have been demonstrated to be an effective tool to ensure these operational properties.

In this paper, we present RATTUS, a modal FRP language that extends and simplifies previous modal FRP calculi while still maintaining the operational guarantees for productivity, causality, and space leaks. The simplified type system makes RATTUS a practical programming language that can be integrated with existing functional programming languages. To demonstrate this, we have implemented a shallow embedding of RATTUS in Haskell that allows the programmer to write RATTUS code in familiar Haskell syntax and seamlessly integrate it with regular Haskell code. Thus RATTUS combines the benefits enjoyed by FRP libraries such as Yampa, namely access to a rich library ecosystem (e.g. for graphics programming), with the strong operational guarantees offered by a bespoke type system. The soundness proof based on a logical relations argument has been formalised using the Coq proof assistant.

Additional Key Words and Phrases: Functional reactive programming, Modal types, Haskell, Type systems

## 1 INTRODUCTION

Reactive systems perform an ongoing interaction with their environment, receiving inputs from the outside, changing their internal state and producing some output. Examples of such systems include GUIs, web applications, video games, and robots. Programming such systems with traditional general-purpose imperative languages can be very challenging: The components of the reactive system are put together via a complex and often confusing web of callbacks and shared mutable state. As a consequence, individual components cannot be easily understood in isolation, which makes building and maintaining reactive systems in this manner difficult and error-prone.

Functional reactive programming (FRP), introduced by Elliott and Hudak [1997], tries to remedy this problem by introducing time-varying values (called *behaviours* or *signals*) and *events* as a means of communication between components in a reactive system instead of shared mutable state and callbacks. Crucially, signals and events are first-class values in FRP and can be freely combined and manipulated. These high-level abstractions not only provide a rich and expressive programming model. They also make it possible for us to reason about FRP programs by simple equational methods.

Elliott and Hudak's original conception of FRP is an elegant idea that allows for direct manipulation of time-dependent data but also immediately leads to the question of what the interface for signals and events should be. A naive approach would be to model signals as streams defined by the following Haskell data type<sup>1</sup>

```
data Str a = a ::: (Str a)
```

<sup>1</sup>Here `:::` is a data constructor written as a binary infix operator.

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A stream of type  $Str\ a$  thus consists of a head of type  $a$  and a tail of type  $Str\ a$ . The type  $Str\ a$  encodes a discrete signal of type  $a$ , where each element of a stream represents the value of that signal at a particular time.

Combined with the power of higher-order functional programming we can easily manipulate and compose such signals. For example, we may apply a function to the values of a signal:

```
map :: (a → b) → Str a → Str b
map f (x :: xs) = f x :: map f xs
```

However, this representation is too permissive and allows the programmer to write *non-causal* programs, i.e. programs where the present output depends on future input such as the following:

```
clairvoyance :: Str Int → Str Int
clairvoyance (x :: xs) = map (+1) xs
```

This function takes the input  $n$  of the *next* time step and returns  $n + 1$  in the *current* time step. In practical terms, this reactive program cannot be effectively executed since we cannot compute the current value of the signal that it defines.

Much of the research in FRP has been dedicated to addressing this problem by adequately restricting the interface that the programmer can use to manipulate signals. This can be achieved by exposing only a carefully selected set of combinators to the programmer or by using a more sophisticated type system. The former approach has been very successful in practice, not least because it can be readily implemented as a library in existing languages. This library approach also immediately integrates the FRP language with a rich ecosystem of existing libraries and inherits the host language's compiler and tools. The most prominent example of this approach is Arrowised FRP [Nilsson et al. 2002], as implemented in the Yampa library for Haskell [Hudak et al. 2004], which takes signal functions as primitive rather than signals themselves. However, this library approach forfeits some of the simplicity and elegance of the original FRP model as it disallows direct manipulation of signals.

More recently, an alternative to this approach has been developed [Bahr et al. 2019; Jeffrey 2014; Jeltsch 2013; Krishnaswami 2013; Krishnaswami and Benton 2011; Krishnaswami et al. 2012] that uses a *modal* type operator  $\bigcirc$  that captures the notion of time. Following this idea, an element of type  $\bigcirc a$  represents data of type  $a$  arriving in the next time step. Signals are then modelled by the type of streams defined instead as follows:

```
data Str a = a :: (⊙(Str a))
```

That is, a stream of type  $Str\ a$  is an element of type  $a$  now and a stream of type  $Str\ a$  later, thus separating consecutive elements of the stream by one time step. Combining this modal type with guarded recursion [Nakano 2000] in the form of a fixed point operator of type  $(\bigcirc a \rightarrow a) \rightarrow a$  gives a powerful type system for reactive programming that guarantees not only causality, but also *productivity*, i.e. the property that each element of a stream can be computed in finite time.

Causality and productivity of an FRP program means that it can be effectively implemented and executed. However, for practical purposes it is also important whether it can be implemented with given finite resources. If a reactive program requires an increasing amount of memory or computation time, it will eventually run out of resources to make progress or take too long to react to input. It will grind to a halt. Since FRP programs operate on a high level of abstraction, it can be very difficult to reason about their space and time cost. A reactive program that exhibits a gradually slower response time, i.e. computations take longer and longer as time progresses, is said to have a *time leak*. Similarly, we say that a reactive program has a *space leak*, if its memory use is gradually increasing as time progresses, e.g. if it holds on to memory while continually allocating more.

99 Within both lines of work – the library approach and the modal types approach – there has been  
 100 an effort to devise FRP languages that avoid *implicit* space leaks, i.e. space leaks that are caused  
 101 by the implementation of the FRP language rather than explicit memory allocations intended by  
 102 the programmer. For example, Ploeg and Claessen [2015] devised an FRP library for Haskell that  
 103 avoids implicit space leaks by carefully restricting the API to manipulate events and signals. Based  
 104 on the modal operator  $\bigcirc$  described above, Krishnaswami [2013] has devised a *modal* FRP calculus  
 105 that permit an aggressive garbage collection strategy that rules out implicit space leaks.

106 The absence of space leaks is an operational property that is notoriously difficult to reason  
 107 about in higher-level languages. For a simple example, consider the following innocuously looking  
 108 function *const* that takes an element of type *a* and repeats it indefinitely as a stream:

```
109 const :: a → Str a
110 const x = x ::: const x
```

111  
 112 In particular, this function can be instantiated at type  $\text{const} :: \text{Str Int} \rightarrow \text{Str (Str Int)}$ , which  
 113 has an inherent space leak with its memory usage growing linearly with time: At each time step  
 114 *n* it has to store all previously observed input values from time step 0 to *n*. On the other hand,  
 115 instantiated with the type  $\text{const} :: \text{Int} \rightarrow \text{Str Int}$ , the function can be efficiently implemented. To  
 116 distinguish between these two scenarios, Krishnaswami [2013] introduced the notion of *stable*  
 117 *types*, i.e. types such as *Int* that are time invariant and whose values can thus be transported into  
 118 the future without causing space leaks.

119  
 120 *Contributions.* In this paper, we present RATTUS, a practical modal FRP language that takes its  
 121 ideas from the modal FRP calculi of Krishnaswami [2013] and Bahr et al. [2019] but with a simpler  
 122 and less restrictive type system that makes it attractive to use in practice. Like the Simply RaTT  
 123 calculus of Bahr et al., we use a Fitch-style type system [Clouston 2018], which extends typing  
 124 contexts with *tokens* to avoid the syntactic overhead of the dual-context-style type system of  
 125 Krishnaswami [2013]. In addition, we further simplify the typing system by (1) only requiring one  
 126 kind of *token* instead of two, (2) allowing tokens to be introduced without any restrictions, and (3)  
 127 simplifying the guarded recursion scheme. The resulting calculus is simpler and more expressive,  
 128 yet still retains the operational guarantees of the earlier calculi, namely productivity, causality and  
 129 admissibility of an aggressive garbage collection strategy that prevents implicit space leaks. We  
 130 have proved these properties by a logical relations argument formalised using the Coq theorem  
 131 prover (see supplementary material).

132 To demonstrate its use as a practical programming language, we have implemented RATTUS  
 133 as an embedded language in Haskell. This implementation consists of a library that implements  
 134 the primitives of the language along with a plugin for the GHC Haskell compiler. The latter is  
 135 necessary to check the more restrictive variable scope rules of RATTUS and to ensure the eager  
 136 evaluation strategy that is central to the operational properties. Both components are bundled in  
 137 a single Haskell library that allows the programmer to seamlessly write RATTUS code alongside  
 138 Haskell code. We further demonstrate the usefulness of the language with a number of case studies,  
 139 including an FRP library based on streams and events as well as an arrowized FRP library in the  
 140 style of Yampa. We then use these FRP libraries to implement a primitive game. The RATTUS Haskell  
 141 library and all examples are included in the supplementary material.

142 *Overview of Paper.* Section 2 gives an overview of the RATTUS language introducing the main  
 143 concepts and their intuitions through examples. Section 3 presents a case study of a simple FRP  
 144 library based on streams and events, as well as an arrowized FRP library. Section 4 presents the  
 145 underlying core calculus of RATTUS including its type system, its operational semantics, and our  
 146 main metatheoretical results: productivity, causality and absence of implicit space leaks. Section 5  
 147

148 gives an overview of the proof of our metatheoretical results. Section 6 describes how RATTUS  
 149 has been implemented as an embedded language in Haskell. Section 7 reviews related work and  
 150 Section 8 discusses future work.

151

## 152 2 RATTUS NORVEGICUS DOMESTICA

153 To illustrate RATTUS we will use example programs written in the embedding of the language in  
 154 Haskell. The type of streams is at the centre of these example programs:

155

```
155 data Str a = a ::: (○(Str a))
```

156

157 The simplest stream one can define just repeats the same value indefinitely. Such a stream is  
 158 constructed by the *const* function below, which takes an integer and produces a constant stream  
 159 that repeats that integer at every step:

160

```
160 const :: Int → Str Int
```

161

```
161 const x = x ::: delay (const x)
```

162

163 Because the tail of a stream of integers must be of type  $\bigcirc(\text{Str Int})$ , we have to use *delay*, which is  
 164 the introduction form for the type modality  $\bigcirc$ . Intuitively speaking, *delay* moves a computation  
 165 one time step into the future. We could think of *delay* having type  $a \rightarrow \bigcirc a$ , but this type is too  
 166 permissive as it can cause space leaks. It would allow us to move arbitrary computations – and the  
 167 data they depend on – into the future. Instead, the typing rule for *delay* is formulated as follows:

168

$$\frac{\Gamma, \checkmark \vdash t :: A}{\Gamma \vdash \text{delay } t :: \bigcirc A}$$

169

170

171 This is a characteristic example of a Fitch-style typing rule: It introduces the *token*  $\checkmark$  (pronounced  
 172 “tick”) in the typing context  $\Gamma$ . A typing context consists of type assignments of the form  $x :: A$ , but  
 173 it can also contain several occurrences of  $\checkmark$ . We can think of  $\checkmark$  as denoting the passage of one  
 174 time step, i.e. all variables to the left of  $\checkmark$  are one time step older than those to the right. In the  
 175 above typing rule, the term  $t$  does not have access to these “old” variables in  $\Gamma$ . There is, however,  
 176 an exception: If a variable in the typing context is of a type that is time-independent, we still allow  
 177  $t$  to access them – even if the variable is one time step old. We call these time-independent types  
 178 *stable* types, and in particular all base types such as *Int* and *Bool* are stable. We will discuss stable  
 179 types in more detail in Section 2.1.

180

Formally, the variable introduction rule of RATTUS reads as follows:

181

182

183

$$\frac{\Gamma' \text{ tick-free or } A \text{ stable}}{\Gamma, x :: A, \Gamma' \vdash x :: A}$$

184

That is, if  $x$  is not of a stable type and appears to the left of a  $\checkmark$ , then it is no longer in scope.

185

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Turning back to our definition of the *const* function, we can see that the recursive call *const*  $x$   
 must be of type *Str Int* in the context  $\Gamma, \checkmark$ , where  $\Gamma$  contains  $x :: \text{Int}$ . So  $x$  remains in scope because  
 it is of type *Int*, which is a stable type. This would not be the case if we were to generalise *const* to  
 arbitrary types:

189

```
189 leakyConst :: a → Str a
```

190

```
190 leakyConst x = x ::: delay (leakyConst x) -- the rightmost occurrence of x is out of scope
```

191

192

In this example,  $x$  is of type  $a$  and therefore goes out of scope under *delay*: Since  $a$  is not necessarily  
 stable,  $x :: a$  is blocked by the  $\checkmark$  introduced by *delay*.

193

194

195

The definition of *const* also illustrates the *guarded* recursion principle used in RATTUS. For a  
 recursive definition to be well-typed, all recursive calls have to occur in the presence of a  $\checkmark$  – in

196

other words, recursive calls have to be guarded by delay. This restriction ensures that all recursive functions are productive, which means that each element of a stream can be computed in finite time. If we did not have this restriction, we could write the following obviously unproductive function:

```
loop :: Str Int
loop = loop -- unguarded recursive call to loop is not allowed
```

The recursive call to *loop* does not occur under a delay, and is thus rejected by the type checker.

The function *inc* below takes a stream of integers as input and increments each integer by 1:

```
inc :: Str Int → Str Int
inc (x ::: xs) = (x + 1) ::: delay (inc (adv xs))
```

Here we have to use *adv*, the elimination form for  $\bigcirc$ , to convert the tail of the input stream from type  $\bigcirc(\text{Str Int})$  into type *Str Int*. Again we could think of *adv* having type  $\bigcirc a \rightarrow a$ , but this general type would allow us to write non-causal functions such as the following:

```
tomorrow :: Str Int → Str Int
tomorrow (x ::: xs) = adv xs -- adv is not allowed here
```

This function skips one time step so that the output at time *n* depends on the input at time *n* + 1.

To ensure causality, *adv* is restricted to contexts with a  $\checkmark$ :

$$\frac{\Gamma \vdash t :: \bigcirc A \quad \Gamma' \text{ tick-free}}{\Gamma, \checkmark, \Gamma' \vdash \text{adv } t :: A}$$

Not only does *adv* require a  $\checkmark$ , it also causes all bound variables to the right of  $\checkmark$  to go out of scope. Intuitively speaking delay looks ahead one time step and *adv* then allows us to go back to the present. Variable bindings made in the future are therefore not accessible once we returned to the present.

Note that *adv* causes the variables to the right of  $\checkmark$  to go out of scope *forever*, whereas it brings variables back into scope that were previously blocked by the  $\checkmark$ . That is, variables that go out of scope due to delay can be brought back into scope by *adv*

## 2.1 Stable types

We haven't yet made precise what stable types are. To a first approximation, types are stable if they do not contain  $\bigcirc$  or function types. The intuition here is that  $\bigcirc$  expresses a temporal aspect and thus types containing  $\bigcirc$  are not time-invariant. Moreover, functions can implicitly have temporal values in their closure and are therefore also excluded from stable types.

However, that means we cannot not implement the *map* function that takes a function  $f :: a \rightarrow b$  and applies it to each element of a stream of type *Str a*, because it would require us to apply the function *f* at any time in the future. We cannot do this because  $a \rightarrow b$  is not a stable type (even if *a* and *b* were stable) and therefore *f* cannot be transported into the future. However, RATTUS has the type modality  $\square$ , pronounced "box", that turns any type *A* into a stable type  $\square A$ . Using the  $\square$  modality we can implement *map* as follows:

```
map :: □(a → b) → Str a → Str b
map f (x ::: xs) = unbox f x ::: delay (map f (adv xs))
```

Instead of a function of type  $a \rightarrow b$ , *map* takes a *boxed* function *f* of type  $\square(a \rightarrow b)$  as argument. That means, *f* is still in scope under the delay because it is of a stable type. To use *f*, it has to be unboxed using *unbox*, which is the elimination form for the  $\square$  modality and has simply type  $\square a \rightarrow a$ , this time without any side conditions.

On the other hand, the corresponding introduction form for  $\Box$  has to make sure that boxed values do not refer to non-stable variables:

$$\frac{\Gamma^\square \vdash t :: A}{\Gamma \vdash \text{box } t :: \Box A}$$

Here,  $\Gamma^\square$  denotes the typing context that is obtained from  $\Gamma$  by removing all variables of non-stable types and all  $\checkmark$  tokens:

$$\cdot^\square = \cdot \quad (\Gamma, x :: A)^\square = \begin{cases} \Gamma^\square, x :: A & \text{if } A \text{ stable} \\ \Gamma^\square & \text{otherwise} \end{cases} \quad (\Gamma, \checkmark)^\square = \Gamma^\square$$

Thus, for a well-typed term  $\text{box } t$ , we know that  $t$  only accesses variables of stable type.

For example, we can implement the *inc* function using *map* as follows:

```
inc :: Str Int → Str Int
inc = map (box (+1))
```

Using the  $\Box$  modality we can also generalise the constant stream function to arbitrary boxed types:

```
constBox :: □ a → Str a
constBox a = unbox a ::: delay (constBox a)
```

Alternatively, we can make use of the *Stable* type class, to constrain type variables to stable types:

```
const :: Stable a ⇒ a → Str a
const x = x ::: delay (const x)
```

So far, we have only looked at recursive definitions at the top level. Recursive definitions can also be nested, but we have to be careful how such nested recursion interacts with the typing environment. Below is an alternative definition of *map* that takes the boxed function  $f$  as an argument and then calls the *run* function that recurses over the stream:

```
map :: □(a → b) → Str a → Str b
map f = run
  where run :: Str a → Str b
        run (x ::: xs) = unbox f x ::: delay (run (adv xs))
```

Here *run* is type checked in a typing environment  $\Gamma$  that contains  $f :: \Box(a \rightarrow b)$ . Since *run* is defined by guarded recursion, we require that its definition must type check in the typing context  $\Gamma^\square$ . Because  $f$  is of a stable type, it remains in  $\Gamma^\square$  and is thus in scope in the definition of *run*. So guarded recursive definitions interact with the typing environment in the same way as *box*. That way, we are sure that the recursive definition is stable and can thus safely be executed at any time in the future. As a consequence, the type checker will prevent us from writing the following leaky version of *map*.

```
leakyMap :: (a → b) → Str a → Str b
leakyMap f = run
  where run :: Str a → Str b
        run (x ::: xs) = f x ::: delay (run (adv xs)) -- f is no longer in scope here
```

The type of  $f$  is not stable, and thus it is not in scope in the definition of *run*.

Note that top-level defined identifiers such as *map* and *const* are in scope in any context after they are defined regardless of whether there is a  $\checkmark$  or whether they are of a stable type. One can think of top-level definitions being implicitly boxed when they are defined and implicitly unboxed when they are used later on.

## 2.2 Operational Semantics

As we have seen in the examples above, the purpose of the type modalities  $\bigcirc$  and  $\square$  is to ensure that RATTUS programs are causal and productive. Furthermore, the typing rules also ensure that RATTUS has no implicit space leaks. In simple terms, this means that temporal values, i.e. values of type  $\bigcirc A$ , are safe to be garbage collected after two time steps. In particular, input from a stream can be safely garbage collected one time step after it has arrived. This memory property is made precise later in [Section 4](#).

This is the same memory property [Krishnaswami \[2013\]](#) and [Bahr et al. \[2019, 2021\]](#) established for their modal FRP calculi. To achieve this, these calculi restrict guarded recursive definitions to only “look ahead” at most one time step. In the Fitch-style calculi of [Bahr et al. \[2019, 2021\]](#) this can be seen in the restriction to allow at most one  $\checkmark$  in the typing context. For the same reason these two calculi also disallow function definitions in the context of a  $\checkmark$ . As a consequence, terms like  $\text{delay}(\text{delay } 0)$  and  $\text{delay}(\lambda x.x)$  do not type check in the calculi of [Bahr et al. \[2019, 2021\]](#).

RATTUS lifts these restrictions on ticks and instead refines the operational semantics of the language. At first glance one might think that allowing multiple ticks can be accommodated by extending the time one has to keep temporal values in memory accordingly, i.e. we may garbage collect temporal values after  $n + 1$  time steps, if we allow at most  $n$  ticks. However, this turns out to be neither enough nor necessary. On the one hand, even allowing just two ticks would require us to keep temporal values in memory indefinitely, i.e. it permits implicit space leaks. But if we change the evaluation strategy, we can still garbage collect all temporal values after two time steps, no matter how many ticks were involved in type checking the program.

Like the abovementioned earlier calculi, RATTUS uses an eager evaluation strategy except for `delay` and `box`. That is, arguments are evaluated to values before they are passed on to functions other than `delay` and `box`. Where RATTUS differs is the evaluation of `adv`, where it follows the *temporal* semantics of `adv`: Recall that `delay t` delays the computation  $t$  by one time step and that `adv` reverses such a delay. The operational semantics of RATTUS reflects this intuition by first evaluating every term  $t$  that occurs as `delay (... adv t ...)` before evaluating `delay`. To enforce this evaluation strategy, RATTUS programs are transformed before execution employing two rewrite rules (see [Section 4.2.1](#)).

The extension in expressive power afforded by RATTUS’ more aggressive eager evaluation strategy has immediate practical benefits. Most importantly, there are no restrictions on where one can define functions. Secondly, we can write recursive functions that look several steps into the future:

```
stutter :: Int → Str Int
stutter n = n ::: delay (n ::: delay (stutter (n + 1)))
```

Applying `stutter` to `0` would construct a stream of numbers `0, 0, 1, 1, 2, 2, ...`. In order to implement `stutter` in the more restrictive language of [Krishnaswami \[2013\]](#) and [Bahr et al. \[2019, 2021\]](#) we would need to decompose it into two mutually recursive functions [[Bahr et al. 2021](#)].

The operational semantics is made more precise in [Section 4](#). In the Haskell embedding of the language, this evaluation strategy is enforced by using strict data structures and strict evaluation. The latter is achieved by a compiler plug-in that transforms all RATTUS functions so that arguments are always evaluated to weak head normal form (cf. [Section 6](#)).

## 3 REACTIVE PROGRAMMING IN RATTUS

### 3.1 Programming with streams and events

In this section we showcase how RATTUS can be used for reactive programming. To this end we use a small library of combinators for programming with streams and events defined in [Figure 1](#).

```

344  map :: □(a → b) → Str a → Str b
345  map f (x ::: xs) = unbox f x ::: delay (map f (adv xs))
346
347  zip :: Str a → Str b → Str (a ⊗ b)
348  zip (a ::: as) (b ::: bs) = (a ⊗ b) ::: delay (zip (adv as) (adv bs))
349
350  scan :: Stable b ⇒ □(b → a → b) → b → Str a → Str b
351  scan f acc (a ::: as) = acc' ::: delay (scan f acc' (adv as))
352    where acc' = unbox f acc a
353
354  type Event a = Str (Maybe' a)
355
356  switch :: Str a → Event (Str a) → Str a
357  switch (x ::: xs) (Nothing'      ::: fas) = x ::: (delay switch ⊗ xs ⊗ fas)
358  switch _         (Just' (a ::: as) ::: fas) = a ::: (delay switch ⊗ as ⊗ fas)
359
360  switchTrans :: (Str a → Str b) → Event (Str a → Str b) → (Str a → Str b)
361  switchTrans f es as = switchTrans' (f as) es as
362
363  switchTrans' :: Str b → Event (Str a → Str b) → Str a → Str b
364  switchTrans' (b ::: bs) (Nothing' ::: fs) as = b ::: (delay switchTrans' ⊗ bs ⊗ fs ⊗ tail as)
365  switchTrans' _         (Just' f   ::: fs) as = b' ::: (delay switchTrans' ⊗ bs' ⊗ fs ⊗ tail as)
366    where (b' ::: bs') = f as

```

Fig. 1. Small library for streams and events.

The *map* function should be familiar by now. The *zip* function combines two streams similar to Haskell's *zip* function on lists. Note however that instead of the normal pair type we use a strict pair type:

```
data a ⊗ b = !a ⊗ !b
```

It is like the normal pair type  $(a, b)$ , but when constructing a strict pair  $s \otimes t$ , the two components  $s$  and  $t$  are evaluated to weak head normal form.

The *scan* function is similar to Haskell's *scanl* function on lists: given a stream of values  $v_0, v_1, v_2, \dots$ , the expression *scan*  $l$  (box  $f$ )  $v$  computes the stream

$$f \ v \ v_0, \quad f \ (f \ v \ v_0) \ v_1, \quad f \ (f \ (f \ v \ v_0) \ v_1) \ v_2, \quad \dots$$

If one would want a variant of *scan* that is closer to Haskell's *scanl*, i.e. the result starts with the value  $v$  instead of  $f \ v \ v_0$ , one can simply replace the first occurrence of  $acc'$  in the definition of *scan* with  $acc$ . Note that the type  $b$  has to be stable in the definition of *scan* so that  $acc' :: b$  is still in scope under delay.

A central component of functional reactive programming is that it must provide a way to react to events. In particular, it must support the ability to *switch* behaviour as reaction to the occurrence of an event. There are different ways to represent events. The simplest is to define events of type  $a$  as streams of type *Maybe*  $a$ . However, we will use the strict variant of the *Maybe* type:

```
data Maybe' a = Just' !a | Nothing'
```

We can then devise a *switch* combinator that reacts to events. Given an initial stream  $xs$  and an event  $e$  that may produce a stream, *switch*  $xs \ e$  initially behaves as  $xs$  but changes to the new stream provided by the occurrence of an event. Note that the behaviour changes *every time* an event occurs, not only the first time.

In the definition of *switch* we use the applicative operator  $\otimes$  defined as follows

```

393
394 ( $\otimes$ ) ::  $\bigcirc(a \rightarrow b) \rightarrow \bigcirc a \rightarrow \bigcirc b$ 
395  $f \otimes x = \text{delay } ((\text{adv } f) (\text{adv } x))$ 
396

```

Instead of using  $\otimes$ , we could have also written `delay (switch (adv xs) (adv fxs))` instead.

Finally, *switchTrans* is a variant of *switch* that switches to a new stream function rather than just a stream. It is implemented using the variant *switchTrans'* where the initial stream function is rather just a stream.

### 3.2 A simple reactive program

To put our bare-bones FRP library to use, let's implement a simple single player variant of the classic game Pong: The player has to move a paddle at the bottom of the screen to bounce a ball and prevent it from falling.<sup>2</sup> The core behaviour is described by the following stream function:

```

406  $\text{pong} :: \text{Str Input} \rightarrow \text{Str } (\text{Pos} \otimes \text{Float})$ 
407  $\text{pong inp} = \text{zip ball pad where}$ 
408    $\text{pad} :: \text{Str Float}$ 
409    $\text{pad} = \text{padPos inp}$ 
410    $\text{ball} :: \text{Str Pos}$ 
411    $\text{ball} = \text{ballPos } (\text{zip pad inp})$ 
412

```

It receives a stream of inputs (button presses and how much time has passed since the last input) and produces a stream of pairs consisting of the 2D position of the ball and the  $x$  coordinate of the paddle. Its implementation uses two helper functions to compute these two components. The position of the paddle only depends on the input whereas the position of the ball also depends on the position of the paddle (since it may bounce off it):

```

418  $\text{padPos} :: \text{Str } (\text{Input}) \rightarrow \text{Str Float}$ 
419  $\text{padPos} = \text{map } (\text{box fst}') \circ \text{scan } (\text{box padStep}) (0 \otimes 0)$ 
420
421  $\text{padStep} :: (\text{Float} \otimes \text{Float}) \rightarrow \text{Input} \rightarrow (\text{Float} \otimes \text{Float})$ 
422  $\text{padStep } (\text{pos} \otimes \text{vel}) \text{ inp} = \dots$ 
423
424  $\text{ballPos} :: \text{Str } (\text{Float} \otimes \text{Input}) \rightarrow \text{Str Pos}$ 
425  $\text{ballPos} = \text{map } (\text{box fst}') \circ \text{scan } (\text{box ballStep}) ((0 \otimes 0) \otimes (20 \otimes 50))$ 
426
427  $\text{ballStep} :: (\text{Pos} \otimes \text{Vel}) \rightarrow (\text{Float} \otimes \text{Input}) \rightarrow (\text{Pos} \otimes \text{Vel})$ 
428  $\text{ballStep } (\text{pos} \otimes \text{vel}) (\text{pad} \otimes \text{inp}) = \dots$ 
429

```

Both auxiliary functions follow the same structure. They use a *scan* to keep track of some internal state, e.g. the position and velocity of the ball, while consuming the input stream. The internal state is then projected away using *map*. Here *fst'* is the first projection for the strict pair type. We can see that the ball starts at the centre of the screen (at coordinates (0, 0)) and moves towards the upper right corner.

Let's change the implementation of *pong* so that it allows the player to reset the game, e.g. after ball has fallen off the screen:

```

435  $\text{pong}' :: \text{Str Input} \rightarrow \text{Str } (\text{Pos} \otimes \text{Float})$ 
436  $\text{pong}' \text{ inp} = \text{zip ball pad where}$ 
437    $\text{pad} = \text{padPos inp}$ 
438    $\text{ball} = \text{switchTrans ballPos}$ 
439   -- starting ball behaviour

```

<sup>2</sup>So it is rather like Breakout, but without the bricks.

```

442         (map (box ballTrig) inp) -- trigger restart on pressing reset button
443         (zip pad inp)           -- input to the switch

```

```

444 ballTrig :: Input → Maybe' (Str (Float ⊗ Input) → Str Pos)
445 ballTrig inp = if reset inp then Just' ballPos else Nothing'
446

```

447 To achieve this behaviour we use the *switchTrans* combinator, which we initialise with the original  
 448 behaviour of the ball. The event that will trigger the switch is constructed by mapping *ballTrig*  
 449 over the input stream, which will create an event of type *Event (Str (Float ⊗ Input) → Str Pos)*,  
 450 which will be triggered every time the player hits the reset button.

### 451 3.3 Arrowized FRP

452 The benefit of a modal FRP language is that we can directly interact with signals and events in a  
 453 way that guarantees causality. A popular alternative to ensure causality is arrowized FRP [Nilsson  
 454 et al. 2002], which takes *signal functions* as primitive and uses Haskell's arrow notation [Paterson  
 455 2001] to construct them. By implementing an arrowized FRP library in RATTUS, we can not only  
 456 guarantee causality but also productivity and the absence of implicit space leaks.

457 The basis for such an arrowized RATTUS library is fairly easily obtained, simply by instantiating  
 458 Haskell's *Arrow* type class for a suitable signal function type *SF a b*. We can then use Haskell's  
 459 convenient arrow notation for constructing signal functions. For example, assuming we have signal  
 460 functions *ballPos :: SF (Float ⊗ Input) Pos* and *padPos :: SF Input Float* describing the positions of  
 461 the ball and the paddle from our game in Section 3.2, we can combine these as follows:

```

463 pong :: SF Input (Pos ⊗ Float)
464 pong = proc inp → do pad ← padPos ← inp
465                   ball ← ballPos ← (pad ⊗ inp)
466                   returnA ← (ball ⊗ pad)
467

```

468 The *Arrow* type class only provides a basic interface for constructing *static* signal functions. To  
 469 permit dynamic behaviour we need to provide additional combinators, e.g. for switching signals  
 470 and for recursive definitions. The *rSwitch* combinator corresponds to the *switchTrans* combinator  
 471 from Figure 1:

```

472 rSwitch :: SF a b → SF (a ⊗ Maybe' (SF a b)) b
473

```

474 This combinator allows us to implement our game so that it resets to its start position if we hit the  
 475 reset button:

```

476 pong' :: SF Input (Pos ⊗ Float)
477 pong' = proc inp → do pad ← padPos ← inp
478                   let event = if reset inp then Just' ballPos else Nothing'
479                       ball ← rSwitch ballPos ← ((pad ⊗ inp) ⊗ event)
480                   returnA ← (ball ⊗ pad)
481

```

482 Arrows can be endowed with a very general recursion principle by instantiating the *ArrowLoop*  
 483 type class. However, this would require us to implement a function of type *SF (b, d) (c, d) → SF b c*.  
 484 Such a recursion principle is too general for guarded recursion. Instead, we implement a more  
 485 restricted recursion principle that corresponds to guarded recursion:

```

486 loopPre :: c → SF (a ⊗ c) (b ⊗ ⊙c) → SF a b
487

```

488 Intuitively speaking, this combinator construct a signal function from *a* to *b* with the help of an  
 489 internal state of type *c*. The first argument initialises the state, and the second argument is a signal

490

function that turns input of type  $a$  into output of type  $b$  while also updating the internal state. Apart from the addition of the  $\bigcirc$  modality and strict pair types, this definition has the same type as Yampa's  $loopPre$ .

Using the  $loopPre$  combinator we can implement the signal function of the ball:

```

ballPos :: SF (Float ⊗ Input) Pos
ballPos = loopPre (20 ⊗ 50) run where
  run :: SF ((Float ⊗ Input) ⊗ Vel) (Pos ⊗ ⊙ Vel)
  run = proc ((pad ⊗ inp) ⊗ v) → do p ← integral (0 ⊗ 0) ≀ v
      returnA ≀ (p ⊗ delay (calculateNewVelocity pad p v))

```

Here we also use the  $integral$  combinator that computes the integral of a signal using a simple approximation that sums up rectangles under the curve:

```
integral :: (Stable a, VectorSpace a s) ⇒ a → SF a a
```

The signal function for the paddle can be implemented in a similar fashion. The complete code of the case studies presented in this section can be found in the supplementary material.

## 4 CORE CALCULUS

In this section we present the core calculus of RATTUS, which we call  $\lambda_{\mathcal{R}}$ . The purpose of  $\lambda_{\mathcal{R}}$  is to formally present the language's Fitch-style typing rules, its operational semantics, and to formally prove the central operational properties, i.e. productivity, causality, and absence of implicit space leaks. To this end, the calculus is stripped down to its essence: simply typed lambda calculus extended with guarded recursive types  $\text{Fix } \alpha.A$  and the two type modalities  $\square$  and  $\bigcirc$ . Since general inductive types and polymorphic types are orthogonal to the issue of operational properties in reactive programming, we have omitted these for the sake of clarity.

### 4.1 Type System

Figure 2 defines the syntax of  $\lambda_{\mathcal{R}}$ . Besides guarded recursive types and the two type modalities, we include standard sum and product types along with unit and integer types. The type of streams of type  $A$  is represented as  $\text{Fix } \alpha.A \times \alpha$ . Note the absence of  $\bigcirc$  in this type. When unfolding guarded recursive types such as  $\text{Fix } \alpha.A \times \alpha$ , the  $\bigcirc$  modality is inserted implicitly:  $\text{Fix } \alpha.A \times \alpha \cong A \times \bigcirc(\text{Fix } \alpha.A \times \alpha)$ . This ensures that guarded recursive types are by construction always guarded by the  $\bigcirc$  modality.

Typing contexts, defined in Figure 3, consist of variable typings  $x : A$  and  $\checkmark$  tokens. If a typing context contains no  $\checkmark$ , we call it *tick-free*. The complete set of typing rules for  $\lambda_{\mathcal{R}}$  is given in Figure 4. The typing rules for RATTUS presented in Section 2 appear in the same form also here, except for replacing Haskell's  $::$  operator with the more standard notation. The remaining typing rules are entirely standard, except for the typing rule for the guarded fixed point combinator  $\text{fix}$ .

Types	$A, B ::= \alpha \mid 1 \mid \text{Int} \mid A \times B \mid A + B \mid A \rightarrow B \mid \square A \mid \bigcirc A \mid \text{Fix } \alpha.A$
Stable Types	$S, S' ::= 1 \mid \text{Int} \mid \square A \mid S \times S' \mid S + S'$
Values	$v, w ::= \langle \rangle \mid \bar{n} \mid \lambda x.t \mid \langle v, w \rangle \mid \text{in}_i v \mid \text{box } t \mid \text{into } v \mid \text{fix } x.t \mid l$
Terms	$s, t ::= \langle \rangle \mid \bar{n} \mid \lambda x.t \mid \langle s, t \rangle \mid \text{in}_i t \mid \text{box } t \mid \text{into } t \mid \text{fix } x.t \mid l \mid x \mid t_1 t_2 \mid t_1 + t_2$ $\mid \text{adv } t \mid \text{delay } t \mid \text{case } t \text{ of } \text{in}_1 x.t_1; \text{in}_2 x.t_2 \mid \text{let } x = s \text{ in } t \mid \text{unbox } t \mid \text{out } t$

Fig. 2. Syntax of (stable) types, terms, and values. In typing rules, only closed types (no free  $\alpha$ ) are considered.

$$\frac{}{\emptyset \vdash_{\mathcal{W}}} \quad \frac{\Gamma \vdash_{\mathcal{W}}}{\Gamma, x : A \vdash_{\mathcal{W}}} \quad \frac{\Gamma \vdash_{\mathcal{W}}}{\Gamma, \checkmark \vdash_{\mathcal{W}}}$$

Fig. 3. Well-formed contexts

$$\frac{\Gamma, x : A, \Gamma' \vdash_{\mathcal{W}} \quad \Gamma' \text{ tick-free or } A \text{ stable}}{\Gamma, x : A, \Gamma' \vdash_{\mathcal{W}} x : A} \quad \frac{\Gamma \vdash_{\mathcal{W}}}{\Gamma \vdash_{\mathcal{W}} \langle \rangle : 1} \quad \frac{n \in \mathbb{Z}}{\Gamma \vdash_{\mathcal{W}} \bar{n} : \text{Int}}$$

$$\frac{\Gamma \vdash_{\mathcal{W}} s : \text{Int} \quad \Gamma \vdash_{\mathcal{W}} t : \text{Int}}{\Gamma \vdash_{\mathcal{W}} s + t : \text{Int}} \quad \frac{\Gamma, x : A \vdash_{\mathcal{W}} t : B}{\Gamma \vdash_{\mathcal{W}} \lambda x. t : A \rightarrow B} \quad \frac{\Gamma \vdash_{\mathcal{W}} s : A \quad \Gamma, x : A \vdash_{\mathcal{W}} t : B}{\Gamma \vdash_{\mathcal{W}} \text{let } x = s \text{ in } t : B}$$

$$\frac{\Gamma \vdash_{\mathcal{W}} t : A \rightarrow B \quad \Gamma \vdash_{\mathcal{W}} t' : A}{\Gamma \vdash_{\mathcal{W}} t t' : B} \quad \frac{\Gamma \vdash_{\mathcal{W}} t : A \quad \Gamma \vdash_{\mathcal{W}} t' : B}{\Gamma \vdash_{\mathcal{W}} \langle t, t' \rangle : A \times B}$$

$$\frac{\Gamma \vdash_{\mathcal{W}} t : A_1 \times A_2 \quad i \in \{1, 2\}}{\Gamma \vdash_{\mathcal{W}} \pi_i t : A_i} \quad \frac{\Gamma \vdash_{\mathcal{W}} t : A_i \quad i \in \{1, 2\}}{\Gamma \vdash_{\mathcal{W}} \text{in}_i t : A_1 + A_2}$$

$$\frac{\Gamma, x : A_i \vdash_{\mathcal{W}} t_i : B \quad \Gamma \vdash_{\mathcal{W}} t : A_1 + A_2 \quad i \in \{1, 2\}}{\Gamma \vdash_{\mathcal{W}} \text{case } t \text{ of } \text{in}_1 x. t_1 ; \text{in}_2 x. t_2 : B} \quad \frac{\Gamma, \checkmark \vdash_{\mathcal{W}} t : A}{\Gamma \vdash_{\mathcal{W}} \text{delay } t : \text{OA}}$$

$$\frac{\Gamma \vdash_{\mathcal{W}} t : \text{OA} \quad \Gamma' \text{ tick-free}}{\Gamma, \checkmark, \Gamma' \vdash_{\mathcal{W}} \text{adv } t : A} \quad \frac{\Gamma \vdash_{\mathcal{W}} t : \square A}{\Gamma \vdash_{\mathcal{W}} \text{unbox } t : A} \quad \frac{\Gamma^{\square} \vdash_{\mathcal{W}} t : A}{\Gamma \vdash_{\mathcal{W}} \text{box } t : \square A}$$

$$\frac{\Gamma \vdash_{\mathcal{W}} t : A[\text{O}(\text{Fix } \alpha. A)/\alpha]}{\Gamma \vdash_{\mathcal{W}} \text{into } t : \text{Fix } \alpha. A} \quad \frac{\Gamma \vdash_{\mathcal{W}} t : \text{Fix } \alpha. A}{\Gamma \vdash_{\mathcal{W}} \text{out } t : A[\text{O}(\text{Fix } \alpha. A)/\alpha]} \quad \frac{\Gamma^{\square}, x : \square(\text{OA}) \vdash_{\mathcal{W}} t : A}{\Gamma \vdash_{\mathcal{W}} \text{fix } x. t : A}$$

Fig. 4. Typing rules.

The typing rule for `fix` follows Nakano's fixed point combinator and ensures that the calculus is productive. In addition, following Krishnaswami [2013], the rule enforces the body  $t$  of the fixed point to be stable by strengthening the typing context to  $\Gamma^{\square}$ . Moreover, we follow Bahr et al. [2021] and assume  $x$  to be of type  $\square(\text{OA})$  instead of  $\text{OA}$ . As a consequence, recursive calls may occur at any time in the future, i.e. not necessarily in the very next time step. In conjunction with the more general typing rule for `delay`, this allows us to write recursive function definitions that, like *stutter* in section 2.2, look several steps into the future.

To see how the recursion syntax of RATTUS translates into the fixed point combinator of  $\lambda_{\mathcal{W}}$ , let us reconsider the *const* function:

```
const :: Int → Str Int
const x = x :: delay (const x)
```

Such a recursive definition is simply translated into a fixed point `fix r.t` where the recursive occurrence of *const* is replaced by `adv (unbox r)`.

$$\text{const} = \text{fix } r. \lambda x. x \text{ :: delay}(\text{adv}(\text{unbox } r) x)$$

where the stream cons operator  $s \text{ :: } t$  is shorthand for  $\text{into } \langle s, t \rangle$ . The variable  $r$  is of type  $\Box(\bigcirc(\text{Int} \rightarrow \text{Str Int}))$  and applying  $\text{unbox}$  followed by  $\text{adv}$  turns it into type  $\text{Int} \rightarrow \text{Str Int}$ . Moreover, the restriction that recursive calls must occur in a context with  $\checkmark$  makes sure that this transformation from recursion notation to fixed point combinator results in a well-typed term.

The typing rule for  $\text{fix } x.t$  also explains the treatment of recursive definitions that are nested inside a top-level definition. The typing context  $\Gamma$  is turned into  $\Gamma^\square$  when type checking the body  $t$  of the fixed point.

For example, reconsider the following ill-typed definition of  $\text{leakyMap}$ :

```
leakyMap :: (a → b) → Str a → Str b
leakyMap f = run
  where run :: Str a → Str b
        run (x :: xs) = f x :: delay (leakyMap (adv xs))
```

Translated into  $\lambda_{\checkmark}$ , it looks like this:

$$\text{leakyMap} = \lambda f. \text{fix } r. \lambda s. \text{let } x = \text{head } s \text{ in let } xs = \text{tail } s \text{ in } f x \text{ :: delay}((\text{adv } (\text{unbox } r)) (\text{adv } xs))$$

Here the pattern matching syntax is translated into projection functions  $\text{head}$  and  $\text{tail}$  that decompose a stream into its head and tail, respectively. More importantly, the variable  $f$  bound by the outer lambda abstraction is of a function type and thus not stable. Therefore, it is not in scope in the body of the fixed point.

## 4.2 Operational Semantics

The operational semantics is given in two steps: To execute a program of  $\lambda_{\checkmark}$  it is first translated into a more restrictive variant of  $\lambda_{\checkmark}$ , which we call  $\lambda_{\checkmark}$ . The resulting  $\lambda_{\checkmark}$  program is then executed using an abstract machine that ensures the absence of implicit space leaks by construction.

**4.2.1 Translation to  $\lambda_{\checkmark}$ .** The  $\lambda_{\checkmark}$  calculus restricts typing contexts to contain at most one  $\checkmark$  and restricts the typing rules for  $\text{delay}$  and lambda abstractions as follows:

$$\frac{|\Gamma|, x : A \vdash_{\checkmark} t : B}{\Gamma \vdash_{\checkmark} \lambda x. t : A \rightarrow B} \quad \frac{|\Gamma|, \checkmark \vdash_{\checkmark} t : A}{\Gamma \vdash_{\checkmark} \text{delay } t : \bigcirc A} \quad |\Gamma| = \Gamma \quad \text{if } \Gamma \text{ is tick-free}$$

$$|\Gamma, \checkmark, \Gamma'| = \Gamma^\square, \Gamma'$$

The construction  $|\Gamma|$  turns  $\Gamma$  into a tick-free context, which ensures that we have at most one  $\checkmark$  even for nested occurrences of  $\text{delay}$  and that lambda abstractions are not in the scope of a  $\checkmark$ . All other typing rules are the same as for  $\lambda_{\checkmark}$ .

Any closed  $\lambda_{\checkmark}$  term can be translated into a corresponding  $\lambda_{\checkmark}$  term by exhaustively applying the following rewrite rules:

$$\text{delay}(C[\text{adv } t]) \longrightarrow \text{let } x = t \text{ in delay}(C[\text{adv } x]) \quad \text{if } t \text{ is not a variable}$$

$$\lambda x. C[\text{adv } t] \longrightarrow \text{let } y = \text{adv } t \text{ in } \lambda x. (C[y])$$

where  $C$  is a term with a single hole that does not occur in the scope of  $\text{delay}$ ,  $\text{adv}$ ,  $\text{box}$ ,  $\text{fix}$ , or lambda abstraction.

In a well-typed  $\lambda_{\checkmark}$  term, each subterm  $\text{adv } t$  must occur in the scope of a corresponding  $\text{delay}$ . The above rewrite rules make sure that the subterm  $t$  is evaluated before the  $\text{delay}$ . This corresponds to the intuition that  $\text{delay}$  moves ahead in time and  $\text{adv}$  moves back in time – thus the two cancel out one another.

One can show that the rewrite rules are strongly normalising and type-preserving (in  $\lambda_{\checkmark}$ ). More importantly, exhaustively applying the rewrite rules to a closed term of  $\lambda_{\checkmark}$  transforms it into a closed term of  $\lambda_{\checkmark}$  (the full proof is given in the appendix):

THEOREM 4.1. For each  $\vdash_{\mathcal{L}} t : A$ , we can effectively construct a term  $t'$  with  $t \longrightarrow^* t'$  and  $\vdash_{\mathcal{L}} t' : A$ .

4.2.2 *Abstract machine for  $\lambda_{\mathcal{L}}$ .* To prove the absence of implicit space leaks, we devise an abstract machine that after each time step deletes all data from the previous time step. This characteristic makes the operational semantics *by construction* free of implicit space leaks. This approach, pioneered by Krishnaswami [2013], allows us to reduce the proof of no implicit space leaks to a proof of type soundness.

At the centre of this approach is the idea to execute programs in a machine that has access to a store consisting of up to two separate heaps: A ‘now’ heap from which we can retrieve delayed computations, and a ‘later’ heap where we can store computations that should be performed in the next time step. Once the machine advances to the next time step, it will delete the ‘now’ heap and the ‘later’ heap will become the new ‘now’ heap.

The machine consists of two components: the *evaluation semantics*, presented in Figure 5, which describes the operational behaviour of  $\lambda_{\mathcal{L}}$  within a single time step; and the *step semantics*,

$$\begin{array}{c}
 \frac{}{\langle v; \sigma \rangle \Downarrow \langle v; \sigma \rangle} \qquad \frac{\langle t; \sigma \rangle \Downarrow \langle \bar{m}; \sigma' \rangle \quad \langle t'; \sigma' \rangle \Downarrow \langle \bar{n}; \sigma'' \rangle}{\langle t + t'; \sigma \rangle \Downarrow \langle \bar{m} + \bar{n}; \sigma'' \rangle} \\
 \frac{\langle t; \sigma \rangle \Downarrow \langle u; \sigma' \rangle \quad \langle t'; \sigma' \rangle \Downarrow \langle u'; \sigma'' \rangle}{\langle \langle t, t' \rangle; \sigma \rangle \Downarrow \langle \langle u, u' \rangle; \sigma'' \rangle} \qquad \frac{\langle t; \sigma \rangle \Downarrow \langle \langle v_1, v_2 \rangle; \sigma' \rangle \quad i \in \{1, 2\}}{\langle \pi_i(t); \sigma \rangle \Downarrow \langle v_i; \sigma' \rangle} \\
 \frac{\langle t; \sigma \rangle \Downarrow \langle v; \sigma' \rangle \quad i \in \{1, 2\}}{\langle \text{in}_i(t); \sigma \rangle \Downarrow \langle \text{in}_i(v); \sigma' \rangle} \qquad \frac{\langle t; \sigma \rangle \Downarrow \langle \text{in}_i(u); \sigma' \rangle \quad \langle t_i[v/x]; \sigma' \rangle \Downarrow \langle u_i; \sigma'' \rangle \quad i \in \{1, 2\}}{\langle \text{case } t \text{ of } \text{in}_1 x.t_1; \text{in}_2 x.t_2; \sigma \rangle \Downarrow \langle u_i; \sigma'' \rangle} \\
 \frac{\langle t; \sigma \rangle \Downarrow \langle \lambda x.s; \sigma' \rangle \quad \langle t'; \sigma' \rangle \Downarrow \langle v; \sigma'' \rangle \quad \langle s[v/x]; \sigma'' \rangle \Downarrow \langle v'; \sigma''' \rangle}{\langle t t'; \sigma \rangle \Downarrow \langle v'; \sigma''' \rangle} \\
 \frac{l = \text{alloc } (\sigma)}{\langle \text{delay } t; \sigma \rangle \Downarrow \langle l; \sigma, l \mapsto t \rangle} \qquad \frac{\langle t; \eta_N \rangle \Downarrow \langle l; \eta'_N \rangle \quad \langle \eta'_N(l); \eta'_N \checkmark \eta_L \rangle \Downarrow \langle v; \sigma' \rangle}{\langle \text{adv } t; \eta_N \checkmark \eta_L \rangle \Downarrow \langle v; \sigma' \rangle} \\
 \frac{\langle t; \sigma \rangle \Downarrow \langle \text{box } t'; \sigma' \rangle \quad \langle t'; \sigma' \rangle \Downarrow \langle v; \sigma'' \rangle}{\langle \text{unbox } t; \sigma \rangle \Downarrow \langle v; \sigma'' \rangle} \qquad \frac{\langle t; \sigma \rangle \Downarrow \langle v; \sigma' \rangle}{\langle \text{into } t; \sigma \rangle \Downarrow \langle \text{into } v; \sigma' \rangle} \qquad \frac{\langle t; \sigma \rangle \Downarrow \langle \text{into } v; \sigma' \rangle}{\langle \text{out } t; \sigma \rangle \Downarrow \langle v; \sigma' \rangle} \\
 \frac{\langle t[\text{box } (\text{delay } (\text{fix } x.t))/x]; \sigma \rangle \Downarrow \langle v; \sigma' \rangle}{\langle \text{fix } x.t; \sigma \rangle \Downarrow \langle v; \sigma' \rangle}
 \end{array}$$

Fig. 5. Evaluation semantics.

$$\frac{\langle t; \eta \checkmark \rangle \Downarrow \langle v \text{ :: } l; \eta_N \checkmark \eta_L \rangle}{\langle t; \eta \rangle \xrightarrow{v} \langle \text{adv } l; \eta_L \rangle} \qquad \frac{\langle t; \eta, l^* \mapsto v \text{ :: } l^* \checkmark l^* \mapsto \langle \rangle \rangle \Downarrow \langle v' \text{ :: } l; \eta_N \checkmark \eta_L, l^* \mapsto \langle \rangle \rangle}{\langle t; \eta \rangle \xrightarrow{v/v'} \langle \text{adv } l; \eta_L \rangle}$$

Fig. 6. Step semantics for streams.

presented in Figure 6, which describes the behaviour of a program over time, e.g. how it consumes and constructs streams.

The evaluation semantics is given as a big-step operational semantics, where we write  $\langle t; \sigma \rangle \Downarrow \langle v; \sigma' \rangle$  to indicate that starting with the store  $\sigma$ , the term  $t$  evaluates to the value  $v$  and the new store  $\sigma'$ . A store  $\sigma$  can be of one of two forms: either it consists of a single heap  $\eta_L$ , i.e.  $\sigma = \eta_L$ , or it consists of two heaps  $\eta_N$  and  $\eta_L$ , written  $\sigma = \eta_N \checkmark \eta_L$ . The ‘later’ heap  $\eta_L$  contains delayed computations that may be retrieved and executed in the next time step, whereas the ‘now’ heap  $\eta_N$  contains delayed computations from the previous time step that can be retrieved and executed now. We can only write to  $\eta_L$  and only read from  $\eta_N$ . However, when one time step passes, the ‘now’ heap  $\eta_N$  is deleted and the ‘later’ heap  $\eta_L$  becomes the new ‘now’ heap. This shifting of time is part of the step semantics in Figure 6, which we turn to shortly.

Heaps are simply finite mappings from *heap locations* to terms. Given a store  $\sigma$  of the form  $\eta_L$  or  $\eta_N \checkmark \eta_L$ , we write  $\text{alloc}(\sigma)$  for a heap location  $l$  that is not in the domain of  $\eta_L$ . Given such a fresh heap location  $l$  and a term  $t$ , we write  $\sigma, l \mapsto t$  to denote the store  $\eta'_L$  or  $\eta_N \checkmark \eta'_L$ , respectively, where  $\eta'_L = \eta_L, l \mapsto t$ , i.e.  $\eta'_L$  is obtained from  $\eta_L$  by extending it with a new mapping  $l \mapsto t$ .

Applying delay to a term  $t$  stores  $t$  on the later heap and returns its location on the heap. Conversely, if we apply  $\text{adv}$  to such a delayed computation, we retrieve the term from the now heap and evaluate it.

In principle, the abstract machine could also be used for  $\lambda_{\checkmark}$  terms directly, without transforming them to  $\lambda_{\checkmark}$  first. However, applied to a suitable  $\lambda_{\checkmark}$  term, the machine will dereference a heap location that has previously been garbage collected. In other words, programs in  $\lambda_{\checkmark}$  may introduce implicit space leaks.

Bahr et al. [2019] give an example of such a program with an implicit space leak that relies on allowing lambda abstractions in the scope of a  $\checkmark$ , which is allowed in  $\lambda_{\checkmark}$  but not in  $\lambda_{\checkmark}$ .

For an example of a space leak caused by allowing multiple ticks, consider the following  $\lambda_{\checkmark}$  term of type  $\bigcirc(\text{Str Int}) \rightarrow \bigcirc(\text{Str Int})$ :

$$\text{fix } r. \lambda x. \text{delay}(\text{head}(\text{adv } x) \text{ ::: } \text{adv}(\text{unbox } r)(\text{delay}(\text{adv}(\text{tail}(\text{adv } x))))))$$

Also here the abstract machine would get stuck trying to dereference a heap location that was previously garbage collected. The problem is that the second occurrence of  $x$  is nested below two occurrences of  $\text{delay}$ , which means when  $\text{adv } x$  is evaluated, the heap location bound to  $x$  is two time steps old and has been garbage collected already. Importantly, this problem would occur even if we increased the number of heaps from two to any other fixed upper bound!

On the other hand, if we apply the rewrite rules from Section 4.2.1, we obtain the following  $\lambda_{\checkmark}$  term that is safe to execute on the abstract machine and thus does not suffer from space leaks:

$$\text{fix } r. \lambda x. \text{let } r' = \text{unbox } r \text{ in } \text{delay}(\text{head}(\text{adv } x) \text{ ::: } \text{adv } r'(\text{let } y = \text{tail}(\text{adv } x) \text{ in } \text{delay}(\text{adv } y)))$$

### 4.3 Main results

The step semantics describes the behaviour of reactive programs. Here we consider two kinds of reactive programs: terms of type  $\text{Str } A$  and terms of type  $\text{Str } A \rightarrow \text{Str } B$ . The former just produces an infinite stream of values of type  $A$  whereas the latter is reactive process that produces a value of type  $B$  for each input value of type  $A$ .

**4.3.1 Productivity of the step semantics.** The small-step semantics  $\xRightarrow{v}$  from Figure 6 describes the unfolding of streams of type  $\text{Str } A$ . Given a closed term  $t \in_{\checkmark} t : \text{Str } A$ , it produces an infinite reduction sequence

$$\langle t; \emptyset \rangle \xRightarrow{v_0} \langle t_1; \eta_1 \rangle \xRightarrow{v_1} \langle t_2; \eta_2 \rangle \xRightarrow{v_2} \dots$$

where  $\emptyset$  denotes the empty heap and each  $v_i$  has type  $A$ . In each step we have a term  $t_i$  and the corresponding heap  $\eta_i$  of delayed computations. According to the definition of the semantics, we evaluate  $\langle t_i; \eta_i \checkmark \rangle \Downarrow \langle v_i :: l; \eta'_i \checkmark \eta_{i+1} \rangle$ , where  $\eta'_i$  is  $\eta_i$  but possibly extended with some additional delayed computations and  $\eta_{i+1}$  is the new heap with delayed computations for the next time step. Crucially, the old heap  $\eta'_i$  is thrown away. That is, by construction, old data is not implicitly retained but garbage collected immediately after we completed the current time step.

As an example consider the following definition of the stream of consecutive numbers starting from some given number:

```
from :: Int → Str Int
from n = n :: delay (from (n + 1))
```

This definition translates to the following  $\lambda_{\checkmark}$  term:

$$from = \text{fix } r. \lambda n. n \text{ :: let } r' = \text{unbox } r \text{ in delay}(\text{adv } r' (n + \bar{1}))$$

Let's see how the stream  $from \bar{0}$  of type  $Str\ Int$  unfolds:

$$\begin{aligned} \langle from \bar{0}; \emptyset \rangle &\xrightarrow{\bar{0}} \langle \text{adv } l'_1; l_1 \mapsto from, l'_1 \mapsto \text{adv } l_1 (\bar{0} + \bar{1}) \rangle \\ &\xrightarrow{\bar{1}} \langle \text{adv } l'_2; l_2 \mapsto from, l'_2 \mapsto \text{adv } l_2 (\bar{1} + \bar{1}) \rangle \\ &\xrightarrow{\bar{2}} \langle \text{adv } l'_3; l_3 \mapsto from, l'_3 \mapsto \text{adv } l_3 (\bar{2} + \bar{1}) \rangle \\ &\vdots \end{aligned}$$

In each step of the stream unfolding the heap contains at location  $l_i$  the fixed point  $from$  and at location  $l'_i$  the delayed computation produced by the occurrence of  $\text{delay}$  in the body of the fixed point. The old versions of the delayed computations are garbage collected after each step and only the most recent version survives.

Our main result is that the execution of  $\lambda_{\checkmark}$  terms by the machine described in Figure 5 and 6 is safe. To describe the type of the produced values precisely, we need to restrict ourselves to streams over types whose evaluation is not suspended, which excludes function and modal types. This idea is expressed in the notion of *value types*, defined by the following grammar:

$$\text{Value Types } V, W ::= 1 \mid \text{Int} \mid U \times W \mid U + W$$

We can then prove the following theorem, which both expresses the fact that the aggressive garbage collection strategy of RATTUS is safe, and that stream programs are productive:

**THEOREM 4.2 (PRODUCTIVITY).** *Given a term  $\vdash_{\checkmark} t : Str\ A$  with  $A$  a value type, there is an infinite reduction sequence*

$$\langle t; \emptyset \rangle \xRightarrow{v_0} \langle t_1; \eta_1 \rangle \xRightarrow{v_1} \langle t_2; \eta_2 \rangle \xRightarrow{v_2} \dots$$

such that  $\vdash_{\checkmark} v_i : A$  for all  $i \geq 0$ .

The restriction to value types is only necessary for showing that each output value  $v_i$  has the correct type.

**4.3.2 Causality of the step semantics.** The small-step semantics  $\xRightarrow{v/v'}$  from Figure 6 describes how a term of type  $Str\ A \rightarrow Str\ B$  transforms a stream of inputs into a stream of outputs in a step-by-step fashion. Given a closed term  $\vdash_{\checkmark} t : Str\ A \rightarrow Str\ B$ , and an infinite stream of input values  $\vdash_{\checkmark} v_i : A$ , it produces an infinite reduction sequence

$$\langle t; \emptyset \rangle \xRightarrow{v_0/v'_0} \langle t_1; \eta_1 \rangle \xRightarrow{v_1/v'_1} \langle t_2; \eta_2 \rangle \xRightarrow{v_2/v'_2} \dots$$

785 where each output value  $v'_i$  has type  $B$ .

786 The definition of  $\xRightarrow{v/v'}$  assumes that we have some fixed heap location  $l^*$ , which acts both as  
 787 interface to the currently available input value and as a stand-in for future inputs that are not yet  
 788 available. In each step, we evaluate the current term  $t_i$  in the current heap  $\eta_i$   
 789

$$790 \langle t_i; \eta_i, l^* \mapsto v_i \text{ :: } l^* \checkmark l^* \mapsto \langle \rangle \rangle \Downarrow \langle v'_i \text{ :: } l; \eta'_i \checkmark \eta_{i+1}, l^* \mapsto \langle \rangle \rangle$$

791 which produces the output  $v'_i$  and the new heap  $\eta_{i+1}$ . Again the old heap  $\eta'_i$  is simply dropped.  
 792 In the 'later' heap, the operational semantics maps  $l^*$  to the placeholder value  $\langle \rangle$ , which is safe  
 793 since the machine never reads from the later heap. Then in the next reduction step, we replace that  
 794 placeholder value with  $v_{i+1} \text{ :: } l^*$  which contains the newly received input value  $v_{i+1}$ .

795 For an example, consider the following function that takes a stream of integers and produces the  
 796 stream of prefix sums:

797  $sum \text{ :: } Str\ Int \rightarrow Str\ Int$

798  $sum = run\ 0\ \text{where}$

799  $run \text{ :: } Int \rightarrow Str\ Int \rightarrow Str\ Int$

800  $run\ acc\ (x \text{ :: } xs) = \text{let } acc' = acc + x$

801  $\text{in } acc' \text{ :: } delay\ (run\ acc'\ (adv\ xs))$

802 This function definition translates to the following term  $sum$  in the  $\lambda_{\checkmark}$  calculus:  
 803

804  $run = \text{fix } r.\lambda acc.\lambda s.\text{let } x = \text{head } s \text{ in let } xs = \text{tail } s \text{ in let } acc' = acc + x \text{ in}$

805  $\text{let } r' = \text{unbox } r \text{ in } acc' \text{ :: } delay(adv\ r'\ acc'\ (adv\ xs))$

806  $sum = run\ \bar{0}$

807 Let's look at the first three steps of executing the  $sum$  function with 2, 11, and 5 as its first three  
 808 input values:  
 809

810  $\langle sum; \emptyset \rangle$

811  $\xRightarrow{\bar{2}/\bar{2}} \langle adv\ l'_1; l_1 \mapsto run, l'_1 \mapsto adv\ l_1\ (\bar{0} + \bar{2})\ (adv\ l^*) \rangle$

812  $\xRightarrow{\bar{11}/\bar{13}} \langle adv\ l'_2; l_2 \mapsto run, l'_2 \mapsto adv\ l_2\ (\bar{2} + \bar{11})\ (adv\ l^*) \rangle$

813  $\xRightarrow{\bar{5}/\bar{18}} \langle adv\ l'_3; l_3 \mapsto run, l'_3 \mapsto adv\ l_3\ (\bar{13} + \bar{5})\ (adv\ l^*) \rangle$

814  $\vdots$

815 in each step of the computation the location  $l_i$  stores the fixed point  $run$  and  $l'_i$  stores the  
 816 computation that calls that fixed point with the new accumulator value ( $0 + 2$ ,  $2 + 11$ , and  $13 + 5$ ,  
 817 respectively) and the tail of the current input stream.

818 We can prove the following theorem, which again expresses the fact that the garbage collection  
 819 strategy of RATTUS is safe, and that stream processing functions are both productive and causal:  
 820

821 **THEOREM 4.3 (CAUSALITY).** *Given a term  $\vdash_{\checkmark} t : Str\ A \rightarrow Str\ B$  with  $B$  a value type, and an infinite  
 822 sequence of values  $\vdash_{\checkmark} v_i : A$ , there is an infinite reduction sequence*

$$823 \langle t; \emptyset \rangle \xRightarrow{v_0/v'_0} \langle t_1; \eta_1 \rangle \xRightarrow{v_1/v'_1} \langle t_2; \eta_2 \rangle \xRightarrow{v_2/v'_2} \dots$$

824 such that  $\vdash_{\checkmark} v'_i : B$  for all  $i \geq 0$ .

825 Since the operational semantics is deterministic, in each step  $\langle t_i; \eta_i \rangle \xRightarrow{v_i/v'_i} \langle t_{i+1}; \eta_{i+1} \rangle$  the resulting  
 826 output  $v'_{i+1}$  and new state of the computation  $\langle t_{i+1}; \eta_{i+1} \rangle$  are uniquely determined by the previous  
 827 state  $\langle t_i; \eta_i \rangle$  and the input  $v_i$ . Thus,  $v'_i$  and  $\langle t_{i+1}; \eta_{i+1} \rangle$  are independent of future inputs  $v_j$  with  $j > i$ .  
 828

#### 4.4 Limitations

Now that we have formally precise statements about the operational properties of RATTUS' core calculus, we should make sure that we understand what they mean in practice and what their limitations are. In simple terms, the productivity and causality properties established by Theorem 4.2 and Theorem 4.3 state that reactive programs in RATTUS can be executed effectively – they always make progress and never depend on data that is not yet available. In the Haskell embedding of the language this has to be of course qualified as we can use Haskell functions that loop or crash.

In addition, by virtue of the operational semantics, the two theorems also imply that programs can be executed without implicitly retaining memory – thus avoiding *implicit space leaks*. This follows from the fact that in each step the step semantics (in Figure 6) discards the ‘now’ heap and only retains the ‘later’ heap for the next step.

However, the calculus still allows *explicit space leaks* (we may still construct data structures to hold on to an increasing amount of memory) as well as *time leaks* (computations may take an increasing amount of time). Below we give some examples of these behaviours.

Given a strict list type

```
data List a = Nil | !a :!(List a)
```

we can construct a function that buffers the entire history of an input stream

```
buffer :: Stable a => Str a -> Str (List a)
buffer = scan (box (\xs x -> x :!(xs)) Nil
```

Given that we have a function  $sum :: List Int \rightarrow Int$  that computes the sum of a list of numbers, we can write the following alternative implementation of the *sums* function using *buffer*:

```
leakySums1 :: Str Int -> Str Int
leakySums1 = map (box sum) o buffer
```

At each time step this function adds the current input integer to the buffer of type *List Int* and then computes the sum of the current value of that buffer. This function exhibits both a space leak (buffering a steadily growing list of numbers) and a time leak (the time to compute each element of the resulting stream increases at each step). However, these leaks are explicit.

An example of a time leak is found in the following alternative implementation of the *sums* function:

```
leakySums2 :: Str Int -> Str Int
leakySums2 (x ::: xs) = x ::: delay (map (box (+x)) (leakySums2 (adv xs)))
```

In each step we add the current input value  $x$  to each future output. The closure  $(+x)$ , which is Haskell shorthand notation for  $\lambda y \rightarrow y + x$ , stores each input value  $x$ .

None of the above space and time leaks are prevented by RATTUS. The space leaks in *buffer* and *leakySums1* are explicit since the desire to buffer the input is explicitly stated in the program. The other example is more subtle as the leaky behaviour is rooted in a time leak as the program constructs an increasing computation in each step. This shows that the programmer still has to be careful about time leaks. Note that these leaky functions can also be implemented in the calculi of Krishnaswami [2013] and Bahr et al. [2019], although some reformulation is necessary for the latter calculus. For more details we refer to the discussion on related work in Section 7.

## 5 META THEORY

Our goal is to show that RATTUS' core calculus enjoys the three central operational properties: productivity, causality and absence of implicit space leaks. These properties are stated in Theorem 4.2

and Theorem 4.3, and we show in this section how these are proved. Note that the absence of space leaks follows from these theorems because the operational semantics already ensures this memory property by means of garbage collecting the ‘now’ heap after each step. Since the proof is fully formalised in the accompanying Coq proofs, we only give a high-level overview of the proof’s constructions.

We prove the abovementioned theorems by establishing a semantic soundness property. For productivity, our soundness property must imply that the evaluation semantics  $\langle t; \sigma \rangle \Downarrow \langle v; \sigma' \rangle$  converges for each well-typed term  $t$ , and for causality, the soundness property must imply that this is also the case if  $t$  contains references to heap locations in  $\sigma$ .

To obtain such a soundness result, we construct a *Kripke logical relation* that incorporates these properties. Generally speaking a Kripke logical relation constructs for each type  $A$  a relation  $\llbracket A \rrbracket_w$  indexed over some world  $w$  with some closure conditions when the index  $w$  changes. In our case,  $\llbracket A \rrbracket_w$  is a set of terms. Moreover, the index  $w$  consists of three components: a number  $\nu$  to act as a step index [Appel and McAllester 2001], a store  $\sigma$  to establish the safety of garbage collection, and an infinite sequence  $\bar{\eta}$  of future heaps in order to capture the causality property.

A crucial ingredient of a Kripke logical relation is the ordering on the indices. The ordering on the number  $\nu$  is the standard ordering on numbers. For heaps we use the standard ordering on partial maps:  $\eta \sqsubseteq \eta'$  iff  $\eta(l) = \eta'(l)$  for all  $l \in \text{dom}(\eta)$ . Infinite sequences of heaps are ordered pointwise according to  $\sqsubseteq$ . Moreover, we extend the ordering to stores in two different ways:

$$\frac{\eta_N \sqsubseteq \eta'_N \quad \eta_L \sqsubseteq \eta'_L}{\eta_N \surd \eta_L \sqsubseteq \eta'_N \surd \eta'_L} \qquad \frac{\sigma \sqsubseteq \sigma'}{\sigma \sqsubseteq_{\surd} \sigma'} \qquad \frac{\eta \sqsubseteq \eta'}{\eta \sqsubseteq_{\surd} \eta'' \surd \eta'}$$

That is,  $\sqsubseteq$  is the pointwise extension of the order on heaps to stores, and  $\sqsubseteq_{\surd}$  is more general and permits introducing an arbitrary ‘now’ heap if none is present.

Given these orderings we define two logical relations, the value relation  $\mathcal{V}_\nu \llbracket A \rrbracket_\sigma^{\bar{\eta}}$  and the term relation  $\mathcal{T}_\nu \llbracket A \rrbracket_\sigma^{\bar{\eta}}$ . Both are defined in Figure 7 by well-founded recursion according to the lexicographic ordering on the triple  $(\nu, |A|, e)$ , where  $|A|$  is the size of  $A$  defined below, and  $e = 1$  for the term relation and  $e = 0$  for the value relation.

$$\begin{aligned} |\alpha| &= |\bigcirc A| = |\text{Int}| = |1| = 1 \\ |A \times B| &= |A + B| = |A \rightarrow B| = 1 + |A| + |B| \\ |\square A| &= |\text{Fix } \alpha. A| = 1 + |A| \end{aligned}$$

In the definition of the logical relation, we use the notation  $\eta; \bar{\eta}$  to denote an infinite sequence of heaps that starts with the heap  $\eta$  and then continues as the sequence  $\bar{\eta}$ . Moreover, we use the notation  $\sigma(l)$  to denote  $\eta_L(l)$  if  $\sigma$  is of the form  $\eta_L$  or  $\eta_N \surd \eta_L$ .

The crucial part of the logical relation that ensures both causality and the absence of space leaks is the case for  $\bigcirc A$ . The value relation of  $\bigcirc A$  at store index  $\sigma$  is defined as all heap locations that map to computations in the term relation of  $A$  but at the store index  $\text{gc}(\sigma) \surd \eta$ . Here  $\text{gc}(\sigma)$  denotes the garbage collection of the store  $\sigma$  as defined in Figure 7. It simply drops the ‘now’ heap if present. To see how this definition captures causality we have to look at the index  $\eta; \bar{\eta}$  of future heaps. It changes to the index  $\bar{\eta}$ , i.e. all future heaps are one time step closer, and the very first future heap  $\eta$  becomes the new ‘later’ heap in the store index  $\text{gc}(\sigma) \surd \eta$ , whereas the old ‘later’ heap in  $\sigma$  becomes the new ‘now’ heap.

The central theorem that establishes type soundness is the so-called *fundamental property* of the logical relation. It states that well-typed terms are in the term relation. For the induction proof of

$$\begin{aligned}
932 \quad & \mathcal{V}_v \llbracket \text{Int} \rrbracket_{\sigma}^{\bar{\eta}} = \{\bar{n} \mid n \in \mathbb{Z}\}, \\
933 \quad & \mathcal{V}_v \llbracket 1 \rrbracket_{\sigma}^{\bar{\eta}} = \{\langle \rangle\}, \\
934 \quad & \mathcal{V}_v \llbracket A \times B \rrbracket_{\sigma}^{\bar{\eta}} = \{\langle v_1, v_2 \rangle \mid v_1 \in \mathcal{V}_v \llbracket A \rrbracket_{\sigma}^{\bar{\eta}} \wedge v_2 \in \mathcal{V}_v \llbracket B \rrbracket_{\sigma}^{\bar{\eta}}\}, \\
935 \quad & \mathcal{V}_v \llbracket A + B \rrbracket_{\sigma}^{\bar{\eta}} = \{\text{in}_1 v \mid v \in \mathcal{V}_v \llbracket A \rrbracket_{\sigma}^{\bar{\eta}}\} \cup \{\text{in}_2 v \mid v \in \mathcal{V}_v \llbracket B \rrbracket_{\sigma}^{\bar{\eta}}\}, \\
936 \quad & \mathcal{V}_v \llbracket A \rightarrow B \rrbracket_{\sigma}^{\bar{\eta}} = \left\{ \lambda x. t \mid \forall v' \leq v, \sigma' \sqsupseteq \text{gc}(\sigma), \bar{\eta}' \sqsupseteq \bar{\eta}. \forall u \in \mathcal{V}_v \llbracket A \rrbracket_{\sigma'}^{\bar{\eta}'}. t[u/x] \in \mathcal{T}_v \llbracket B \rrbracket_{\sigma'}^{\bar{\eta}'} \right\}, \\
937 \quad & \mathcal{V}_v \llbracket \square A \rrbracket_{\sigma}^{\bar{\eta}} = \{\text{box } t \mid \forall \bar{\eta}'. t \in \mathcal{T}_v \llbracket A \rrbracket_{\emptyset}^{\bar{\eta}'}\}, \\
938 \quad & \mathcal{V}_0 \llbracket \bigcirc A \rrbracket_{\sigma}^{\bar{\eta}} = \{l \mid l \in \text{Loc}\} \\
939 \quad & \mathcal{V}_{v+1} \llbracket \bigcirc A \rrbracket_{\sigma}^{\eta, \bar{\eta}} = \{l \mid \sigma(l) \in \mathcal{T}_v \llbracket A \rrbracket_{\text{gc}(\sigma) \checkmark \eta}^{\bar{\eta}}\}, \\
940 \quad & \mathcal{V}_v \llbracket \text{Fix } \alpha. A \rrbracket_{\sigma}^{\bar{\eta}} = \left\{ \text{into}(v) \mid v \in \mathcal{V}_v \llbracket A[\bigcirc(\text{Fix } \alpha. A)/\alpha] \rrbracket_{\sigma}^{\bar{\eta}} \right\} \\
941 \quad & \mathcal{T}_v \llbracket A \rrbracket_{\sigma}^{\bar{\eta}} = \left\{ t \mid \forall \sigma' \sqsupseteq \sigma. \exists \sigma'', v. \langle t; \sigma' \rangle \Downarrow \langle v; \sigma'' \rangle \wedge v \in \mathcal{V}_v \llbracket A \rrbracket_{\sigma''}^{\bar{\eta}} \right\} \\
942 \quad & \\
943 \quad & \\
944 \quad & \\
945 \quad & \\
946 \quad & \\
947 \quad & \\
948 \quad & \\
949 \quad & \\
950 \quad & \\
951 \quad & C_v \llbracket \cdot \rrbracket_{\sigma}^{\bar{\eta}} = \{\star\} \qquad \text{GARBAGE COLLECTION:} \\
952 \quad & \\
953 \quad & C_v \llbracket \Gamma, x : A \rrbracket_{\sigma}^{\bar{\eta}} = \left\{ \gamma[x \mapsto v] \mid \gamma \in C_v \llbracket \Gamma \rrbracket_{\sigma}^{\bar{\eta}}, v \in \mathcal{V}_v \llbracket A \rrbracket_{\sigma}^{\bar{\eta}} \right\} \qquad \text{gc}(\eta_L) = \eta_L \\
954 \quad & \\
955 \quad & C_v \llbracket \Gamma, \checkmark \rrbracket_{\eta_N \checkmark \eta_L}^{\bar{\eta}} = C_{v+1} \llbracket \Gamma \rrbracket_{\eta_N}^{\eta_L, \bar{\eta}} \qquad \text{gc}(\eta_N \checkmark \eta_L) = \eta_L \\
956 \quad & \\
957 \quad & \\
958 \quad & \\
959 \quad &
\end{aligned}$$

Fig. 7. Logical relation.

960 this property we also need to consider open terms and to this end, we also need a corresponding  
961 context relation  $C_v \llbracket \Gamma \rrbracket_{\sigma}^{\bar{\eta}}$ , which is given in Figure 7.

962  
963 **THEOREM 5.1 (FUNDAMENTAL PROPERTY).** *Given  $\Gamma \vdash_{\checkmark} t : A$ , and  $\gamma \in C_v \llbracket \Gamma \rrbracket_{\sigma}^{\bar{\eta}}$ , then  $t\gamma \in \mathcal{T}_v \llbracket A \rrbracket_{\sigma}^{\bar{\eta}}$*

964  
965 The proof of the fundamental property is a lengthy but entirely standard induction on the typing  
966 relation  $\Gamma \vdash_{\checkmark} t : A$ . Both Theorem 4.2 and Theorem 4.3 are then proved using the above theorem.

## 967 6 EMBEDDING RATTUS IN HASKELL

968  
969 Our goal with RATTUS is to combine the operational guarantees provided modal FRP with the  
970 practical benefits of FRP libraries. Because of the Fitch-style typing rules we cannot implement  
971 RATTUS as a straightforward library of combinators. Instead we rely on a combination of a very  
972 simply library that implements the primitives of the language together with a compiler plugin  
973 that performs additional checks. We start with a description of the implementation followed by an  
974 illustration how the implementation is used in practice.

### 975 6.1 Implementation of RATTUS

976  
977 At its core, our implementation consists of a very simple library that implements the primitives of  
978 RATTUS (delay, adv, box, and unbox) so that they can be readily used in Haskell code. The library  
979 is given in its entirety in Figure 8. Both  $\bigcirc$  and  $\square$  are simple wrapper types, each with their own  
980

981 wrap and unwrap function. The constructors *Delay* and *Box* are not exported by the library, i.e.  $\bigcirc$   
 982 and  $\square$  are treated as abstract types.

983 If we were to use these primitives as provided by the library we would end up with the problems  
 984 illustrated in Section 2. Such an implementation of RATTUS would enjoy none of the operational  
 985 properties we have proved. To make sure that programs use these primitives according to the  
 986 typing rules of RATTUS, our implementation has a second component: a plugin for the GHC Haskell  
 987 compiler that enforces the typing rules of RATTUS.

988 The design of this plugin follows the simple observation that any RATTUS program is also a  
 989 Haskell program but with more restrictive rules for variable scope and when RATTUS’s primitives  
 990 may be used. So type checking a RATTUS program boils down to first typechecking it as a Haskell  
 991 program and then checking that it follows the stricter variable scope rules. That means, we must  
 992 keep track of when variables fall out of scope due to the use of delay, adv and box, but also due to  
 993 guarded recursion. Similarly, we must make sure that adv is only used when a  $\checkmark$  is present.

994 To enforce these additional simple scope rules we make use of GHC’s plugin API which allows  
 995 us to customise part of GHC’s compilation pipeline. The different phases of GHC are illustrated in  
 996 Figure 9 with the additional passes performed by the RATTUS plugin highlighted in bold.

997 After type checking the Haskell abstract syntax tree (AST), GHC calls the scope checking  
 998 component of the RATTUS plugin, which enforces the abovementioned stricter scoping rules. GHC  
 999 will then desugar the typed AST into the intermediate language *Core*. GHC then performs a number  
 1000 of transformation passes on this intermediate representation, the first two of these are provided by  
 1001 the RATTUS plugin: First, we exhaustively apply the two rewrite rules from Section 4.2.1 to transform  
 1002 the program into a single-tick form according to the typing rules of  $\lambda_{\checkmark}$ . Then we transform the  
 1003 resulting code so that RATTUS programs adhere to the call-by-value semantics. To this end, the  
 1004 plugin’s *strictness* pass transforms all function applications so that arguments are evaluated to  
 1005 weak head normal form before they are passed to the function. In addition, this *strictness* pass also  
 1006 checks that RATTUS code only uses strict data types and issues a warning if lazy data types are  
 1007 used, e.g. Haskell’s standard list and pair types.

1008

1009

1010 `data  $\bigcirc a = \text{Delay } a$       data  $\square a = \text{Box } a$       class StableInternal a where`

1011 `delay :: a  $\rightarrow \bigcirc a$       box :: a  $\rightarrow \square a$       class StableInternal a  $\Rightarrow$  Stable a where`

1012 `delay x = Delay x      box x = Box x`

1013 `adv ::  $\bigcirc a \rightarrow a$       unbox ::  $\square a \rightarrow a$`

1014 `adv (Delay x) = x      unbox (Box d) = d`

1015

1016

1017

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1019

1020

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Fig. 8. Implementation of RATTUS primitives.

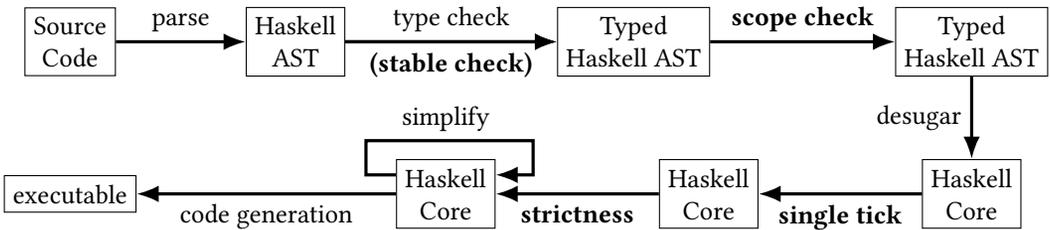


Fig. 9. Compiler phases of GHC (simplified) extended with RATTUS plugin (highlighted in bold).

1030 Not pictured in the diagram is a second scope checking pass that is performed after the *strictness*  
 1031 pass. After the *single tick* pass and thus also after the *strictness* pass, we expect that the code is  
 1032 typable according to the more restrictive typing rules of  $\lambda_{\surd}$ . This second scope checking pass  
 1033 checks this invariant for the purpose of catching implementation bugs in the RATTUS plugin. The  
 1034 Core intermediate language is much simpler than the full Haskell language, so this second scope  
 1035 checking pass is much easier to implement and much less likely to contain bugs. In principle, we  
 1036 could have saved ourselves the trouble of implementing the much more complicated scope checking  
 1037 at the level of the typed Haskell AST. However, by checking at this earlier stage of the compilation  
 1038 pipeline, we can provide the user much more helpful type error messages.

1039 One important component of checking variable scope is checking whether types are stable. This  
 1040 is a simple syntactic check: a type  $\tau$  is stable if all occurrences of  $\bigcirc$  or function types in  $\tau$  are  
 1041 nested under a  $\square$ . However, RATTUS also supports polymorphic types with type constraints such as  
 1042 in the *const* combinator:

```
1043 const :: Stable a  $\Rightarrow$  a  $\rightarrow$  Str a
1044 const x = x :: delay (const x)
```

1046 The *Stable* type class is defined as a primitive in the RATTUS library (see Figure 8). The library does  
 1047 not export the underlying *StableInternal* type class so that the user cannot declare any instances  
 1048 for *Stable*. Our library does not declare instances of the *Stable* class either. Instead, such instances  
 1049 are derived by the RATTUS plugin that uses GHC's typechecker plugin API, which allows us to  
 1050 provide limited customisation to GHC's type checking phase (see Figure 9). Using this API one  
 1051 can give GHC a custom procedure for resolving type constraints. Whenever GHC's type checker  
 1052 finds a constraint of the form *Stable*  $\tau$ , it will send it to the RATTUS plugin, which will resolve it by  
 1053 performing the abovementioned syntactic check on  $\tau$ .

## 1055 6.2 Using RATTUS

1056 To write RATTUS code inside Haskell one must use GHC with the flag `-fplugin=Rattus.Plugin`,  
 1057 which enables the RATTUS plugin described above. Figure 10 shows a complete program that  
 1058 illustrates the interaction between Haskell and RATTUS. The language is imported via the *Rattus*  
 1059 module, with the *Rattus.Stream* providing a stream library (of which we have seen an excerpt in  
 1060 Figure 1). The program contains only one RATTUS function, *summing*, which is indicated by an  
 1061 annotation. This function uses the *scan* combinator to define a stream transducer that sums up its  
 1062 input stream. Finally, we use the *runTransducer* function that is provided by the *Rattus.ToHaskell*  
 1063 module. It turns a stream function of type  $Str\ a \rightarrow Str\ b$  into a Haskell value of type  $Trans\ a\ b$   
 1064 defined as follows:

```
1067 {-# OPTIONS -fplugin=Rattus.Plugin #-}          main = loop (runTransducer sums)
1068 import Rattus                                  where loop (Trans t) = do
1069 import Rattus.Stream                            input  $\leftarrow$  readLn
1070 import Rattus.ToHaskell                        let (result, next) = t input
1071                                               print result
1072 {-# ANN sums Rattus #-}                       loop next
1073 sums :: Str Int  $\rightarrow$  Str Int
1074 sums = scan (box (+)) 0
```

1077 Fig. 10. Complete RATTUS program.

1078

1079 **data**  $\text{Trans } a \ b = \text{Trans } (a \rightarrow (b, \text{Trans } a \ b))$

1080 This allows us to run the stream function step by step as illustrated in the main function: It reads  
 1081 an integer from the console passes it on to the stream function, prints out the response, and then  
 1082 repeats the process.

1083 Alternatively, if a module contains only RATTUS definitions we can use the annotation  
 1084 `{-# ANN module Rattus #-}`  
 1085

1086 to declare that all definitions in a module are to be interpreted as RATTUS code.

## 1087 7 RELATED WORK

1088 The central ideas of functional reactive programming were originally developed for the language  
 1089 Fran [Elliott and Hudak 1997] for reactive animation. These ideas have since been developed into  
 1090 general purpose libraries for reactive programming, most prominently the Yampa library [Nilsson  
 1091 et al. 2002] for Haskell, which has been used in a variety of applications including games, robotics,  
 1092 vision, GUIs, and sound synthesis.

1093 More recently Ploeg and Claessen [2015] have developed the *FRPNow!* library for Haskell, which  
 1094 – like Fran – uses behaviours and events as FRP primitives (as opposed to signal functions), but  
 1095 carefully restricts the API to guarantee causality and the absence of implicit space leaks. To argue  
 1096 for the latter, the authors construct a denotational model and show using a logical relation that  
 1097 their combinators are not “inherently leaky”. The latter does not imply the absence of space leaks,  
 1098 but rather that in principle it can be implemented without space leaks.

1099 *Modal FRP calculi.* The idea of using modal type operators for reactive programming goes back  
 1100 to Jeffrey [2012], Krishnaswami and Benton [2011], and Jeltsch [2013]. One of the inspirations for  
 1101 Jeffrey [2012] was to use linear temporal logic [Pnueli 1977] as a programming language through the  
 1102 Curry-Howard isomorphism. The work of Jeffrey and Jeltsch has mostly been based on denotational  
 1103 semantics, and Bahr et al. [2019]; Cave et al. [2014]; Krishnaswami [2013]; Krishnaswami and  
 1104 Benton [2011]; Krishnaswami et al. [2012] are the only works to our knowledge giving operational  
 1105 guarantees. The work of Cave et al. [2014] shows how one can encode liveness properties in  
 1106 modal FRP, if one replaces the guarded fixed point operator with more standard (co)recursion for  
 1107 (co)inductive types.

1108 The guarded recursive types and fixed point combinator originate with Nakano [2000], but  
 1109 have since been used for constructing logics for reasoning about advanced programming lan-  
 1110 guages [Birkedal et al. 2011] using an abstract form of step-indexing [Appel and McAllester 2001].  
 1111 The Fitch-style approach to modal types [Fitch 1952] has been used for guarded recursion in Clocked  
 1112 Type Theory [Bahr et al. 2017], where contexts can contain multiple, named ticks. Ticks can be  
 1113 used for reasoning about guarded recursive programs. The denotational semantics of Clocked Type  
 1114 Theory [Mannaa and Møgelberg 2018] reveals the difference from the more standard dual context  
 1115 approaches to modal logics, such as Dual Intuitionistic Linear Logic [Barber 1996]: In the latter, the  
 1116 modal operator is implicitly applied to the type of all variables in one context, in the Fitch-style,  
 1117 placing a tick in a context corresponds to applying a *left adjoint* to the modal operator to the context.  
 1118 Guatto [2018] introduced the notion of time warp and the warping modality, generalising the delay  
 1119 modality in guarded recursion, to allow for a more direct style of programming for programs with  
 1120 complex input-output dependencies.

1121 *Space leaks.* The work by Krishnaswami [2013] and Bahr et al. [2019] is the closest to the  
 1122 present work. Both present a modal FRP language with a garbage collection result similar to ours.  
 1123 Krishnaswami [2013] pioneered this approach to prove the absence of implicit space leaks, but also  
 1124 implemented a compiler for his language, which translates FRP programs into JavaScript.

1127

$$\begin{array}{c}
1128 \quad \Gamma, \sharp, x : \bigcirc A \vdash t : A \qquad \Gamma \vdash t : \square A \quad \Gamma' \text{ token-free} \qquad \Gamma, x : A \vdash t : B \quad \Gamma' \text{ tick-free} \\
1129 \quad \hline \\
1130 \quad \Gamma \vdash \text{fix } x.t : \square A \qquad \Gamma, \sharp, \Gamma' \vdash \text{unbox } t : A \qquad \hline \Gamma \vdash \lambda x.t : A \rightarrow B
\end{array}$$

Fig. 11. Selected typing rules from Bahr et al. [2019].

Like the present work, the Simply RaTT calculus of Bahr et al. uses a Fitch-style type system, which provides lighter syntax to interact with the  $\square$  and  $\bigcirc$  modality compared to Krishnaswami's use of qualifiers in his calculus. The latter is closely related to dual context systems and requires the use of pattern matching as elimination forms of the modalities.

As discussed in Section 2.2, Simply RaTT is more restrictive in where ticks may occur. In addition, Simply RaTT has a more complicated typing rule for guarded fixed points (cf. Figure 11). It uses a token  $\sharp$  (in addition to  $\checkmark$ ) to serve the role that stabilisation of a context  $\Gamma$  to  $\Gamma^\square$  serves in RATTUS. Moreover, fixed points produce terms of type  $\square A$  rather than just  $A$ . Taken together, this makes the syntax for guarded recursive function definitions more complicated. For example, the *map* function would be defined like this in Simply RaTT:

$$\begin{array}{l}
1145 \quad \text{map} : \square(a \rightarrow b) \rightarrow \square(\text{Str } a \rightarrow \text{Str } b) \\
1146 \quad \text{map } f \# (a :: as) = \text{unbox } f \ a :: \text{map } f \ \otimes \ as
\end{array}$$

Here, the  $\sharp$  is used to indicate that the argument  $f$  is to the left of the  $\sharp$  token and only because of the presence of this token we can use the *unbox* combinator on  $f$  (cf. Figure 11). Additionally, the typing of recursive definitions is somewhat awkward: *map* has return type  $\square(\text{Str } a \rightarrow \text{Str } b)$  but when used in a recursive call as seen above *map*  $f$  is of type  $\bigcirc(\text{Str } a \rightarrow \text{Str } b)$  instead. Moreover, we cannot call *map* recursively on its own. All recursive calls must be of the form *map*  $f$ , the exact pattern that appears to the left of the  $\#$ .

We argue that our typing system and syntax is simpler than both the work of Krishnaswami [2013] and Bahr et al. [2019], combining the simpler syntax of fixed points with the more streamlined syntax afforded by Fitch-style typing.

RATTUS permits recursive functions that look more than one time step into the future (e.g. *stutter* from Section 2.2), which is not possible in Krishnaswami [2013] and Bahr et al. [2019, 2021]. However, we suspect that Krishnaswami's calculus can be easily adapted to allow this by changing the typing rule for *fix* in the same as we did here.

We should note that that Simply RaTT will reject some programs with time leaks, e.g. *leakyNats*, *leakySums2*, and *leakySums3* from Section 4.4. We can easily write programs that are equivalent to *leakyNats* and *leakySums2*, that are well-typed Simply RaTT using tupling (essentially defining these functions simultaneously with *map*). On the other hand *leakySums3* cannot be expressed in Simply RaTT, essentially because the calculus does not support nested  $\square$  types. But a similar restriction can be implemented for RATTUS, and indeed our implementation of RATTUS will issue a warning when *box* or guarded recursion are nested.

## 8 DISCUSSION AND FUTURE WORK

We have shown that modal FRP can be seamlessly integrated into the Haskell programming language. Two main ingredients are central to achieving this integration: (1) the use of Fitch-style typing to simplify the syntax for interacting with the two modalities and (2) lifting some of the restrictions found in previous work on Fitch-style typing systems.

This paper opens up many avenues for future work both on the implementation side and the underlying theory. We chose Haskell as our host language as it has a compiler extension API that

1177 makes it easy for us to implement RATTUS and convenient for programmers to start using RATTUS  
 1178 with little friction. However, we think that implementing RATTUS in call-by-value languages like  
 1179 OCaml or F# should be easily achieved by a simple post-processing step that checks the Fitch-style  
 1180 variable scope. This can be done by an external tool (not unlike a linter) that does not need to  
 1181 be integrated into the compiler. Moreover, while the use of the type class *Stable* is convenient,  
 1182 it is not necessary as we can always use the  $\Box$  modality instead (cf. *const* vs. *constBox*). When  
 1183 a program transformation approach is not desirable, one can also use  $\lambda_{\surd}$  rather than  $\lambda_{\swarrow}$  as the  
 1184 underlying calculus. Only few programs need the flexibility of  $\lambda_{\swarrow}$  and the few that do can be easily  
 1185 transformed by the programmer with only little syntactic clutter.

1186 FRP is not the only possible application of Fitch-style type systems. However, most of the interest  
 1187 in Fitch-style system has been in logics and dependent type theory [Bahr et al. 2017; Birkedal  
 1188 et al. 2018; Borghuis 1994; Clouston 2018] as opposed to programming languages. RATTUS is to our  
 1189 knowledge the first implementation of a Fitch-style programming language. We would expect that  
 1190 programming languages for information control flow [Kavvos 2019] and recent work on modalities  
 1191 for pure computations Chaudhury and Krishnaswami [2020] admit a Fitch-style presentation and  
 1192 could be implemented similarly to RATTUS.

1193 Part of the success of FRP libraries such as Yampa and FRPNow! is due to the fact that they  
 1194 provide a rich and highly optimised API that integrates well with its host language. In this paper,  
 1195 we have shown that RATTUS can be seamlessly embedded in Haskell, but more work is required  
 1196 to design a good library and to perform the low-level optimisations that are often necessary to  
 1197 obtain good real-world performance. For example, our definition of signal functions in Section 3.3  
 1198 resembles the semantics of Yampa’s signal functions, but in Yampa signal functions are defined as  
 1199 a GADT that can handle some special cases much more efficiently.

1200

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## 1275 A MULTI-TICK CALCULUS

1276 In this appendix, we give the proof of [Theorem 4.1](#), i.e. we show that the program transformation  
1277 described in [Section 4.2.1](#) indeed transforms any closed  $\lambda_{\checkmark}$  term into a closed  $\lambda_{\checkmark}$  term.

1278 Figure 12 gives the context formation rules of  $\lambda_{\checkmark}$ ; the only difference is the rule for adding ticks,  
1279 which has a side condition so that there may be no more than one tick. Figure 13 lists the full set of  
1280 typing rules of  $\lambda_{\checkmark}$ . Compared to  $\lambda_{\checkmark}$  (cf. Figure 4), the only rules that have changed are the rule  
1281 for lambda abstraction, and the rule for delay. Both rules transform the context  $\Gamma$  to  $|\Gamma|$ , which  
1282 removes the  $\checkmark$  in  $\Gamma$  if it has one:

$$1283 \quad |\Gamma| = \Gamma \quad \text{if } \Gamma \text{ is tick-free}$$

$$1284 \quad |\Gamma, \checkmark, \Gamma'| = \Gamma^{\square}, \Gamma'$$

1286 We define the rewrite relation  $\longrightarrow$  as the least relation that is closed under congruence and the  
1287 following rules:

$$1288 \quad \text{delay}(C[\text{adv } t]) \longrightarrow \text{let } x = t \text{ in delay}(C[\text{adv } x]) \quad \text{if } t \text{ is not a variable}$$

$$1289 \quad \lambda x.C[\text{adv } t] \longrightarrow \text{let } y = \text{adv } t \text{ in } \lambda x.(C[y])$$

1291 where  $C$  is a term with a single occurrence of a hole  $[\ ]$  that is not in the scope of delay adv, box,  
1292 fix, or a lambda abstraction. Formally,  $C$  is generated by the following grammar.

$$1293 \quad C ::= [\ ] \mid Ct \mid tC \mid \text{let } x = C \text{ in } t \mid \text{let } x = t \text{ in } C \mid \langle C, t \rangle \mid \langle t, C \rangle \mid \text{in}_1 C \mid \text{in}_2 C \mid \pi_1 C \mid \pi_2 C$$

$$1294 \quad \mid C + t \mid t + C \mid \text{case } C \text{ of } \text{in}_1 x.s; \text{in}_2 x.t \mid \text{case } s \text{ of } \text{in}_1 x.C; \text{in}_2 x.t \mid \text{case } s \text{ of } \text{in}_1 x.t; \text{in}_2 x.C$$

$$1295 \quad \mid \text{into } C \mid \text{out } C \mid \text{unbox } C$$

1296 We write  $C[t]$  to substitute the unique hole  $[\ ]$  in  $C$  with the term  $t$ .

1297 In the following, we show that for each  $\vdash_{\checkmark} t : A$ , if we exhaustively apply the above rewrite rules  
1298 to  $t$ , we obtain a term  $\vdash_{\checkmark} t' : A$ . We prove this by proving each of the following properties in turn:

- 1299 (1) Subject reduction: If  $\Gamma \vdash_{\checkmark} s : A$  and  $s \longrightarrow t$ , then  $\Gamma \vdash_{\checkmark} t : A$ .
- 1300 (2) Exhaustiveness: If  $t$  is a normal form for  $\longrightarrow$ , then  $\vdash_{\checkmark} t : A$  implies  $\vdash_{\checkmark} t : A$ .
- 1301 (3) Strong normalisation: There is no infinite  $\longrightarrow$ -reduction sequence.

### 1302 A.1 Subject reduction

1303 We first show subject reduction (cf. Proposition A.4 below). To this end, we need a number of  
1304 lemmas:

1305 LEMMA A.1 (WEAKENING). *Let  $\Gamma_1, \Gamma_2 \vdash_{\checkmark} t : A$  and  $\Gamma$  tick-free. Then  $\Gamma_1, \Gamma, \Gamma_2 \vdash_{\checkmark} t : A$ .*

1306 PROOF. By straightforward induction on  $\Gamma_1, \Gamma_2 \vdash_{\checkmark} t : A$ . □

1307 LEMMA A.2. *Given  $\Gamma, \checkmark, \Gamma' \vdash_{\checkmark} C[\text{adv } t] : A$  with  $\Gamma'$  tick-free, then there is some type  $B$  such that  
1308  $\Gamma \vdash_{\checkmark} t : \bigcirc B$  and  $\Gamma, x : \bigcirc B, \checkmark, \Gamma' \vdash_{\checkmark} C[\text{adv } x] : A$ .*

1309 PROOF. We proceed by induction on the structure of  $C$ .

- 1310 •  $[\ ]$ :  $\Gamma, \checkmark, \Gamma' \vdash_{\checkmark} \text{adv } t : A$  and  $\Gamma'$  tick-free implies that  $\Gamma \vdash_{\checkmark} t : \bigcirc A$ . Moreover, given a fresh  
1311 variable  $x$ , we have that  $\Gamma, x : \bigcirc A \vdash_{\checkmark} x : \bigcirc A$ , and thus  $\Gamma, x : \bigcirc A, \checkmark, \Gamma' \vdash_{\checkmark} \text{adv } x : A$  and  
1312  $\Gamma'$ .
- 1313 •  $\underline{C}s$ :  $\Gamma, \checkmark, \Gamma' \vdash_{\checkmark} C[\text{adv } t] s : A$  implies that there is some  $A'$  with  $\Gamma, \checkmark, \Gamma' \vdash_{\checkmark} s : A'$  and  
1314  $\Gamma, \checkmark, \Gamma' \vdash_{\checkmark} C[\text{adv } t] : A' \rightarrow A$ . By induction hypothesis, the latter implies that there is some  
1315  $B$  with  $\Gamma \vdash_{\checkmark} t : \bigcirc B$  and  $\Gamma, x : \bigcirc B, \checkmark, \Gamma' \vdash_{\checkmark} C[\text{adv } x] : A' \rightarrow A$ . Hence,  $\Gamma, x : \bigcirc B, \checkmark, \Gamma' \vdash_{\checkmark}$   
1316  $s : A'$ , by Lemma A.1, and thus  $\Gamma, x : \bigcirc B, \checkmark, \Gamma' \vdash_{\checkmark} C[\text{adv } x] s : A$ .

$$\frac{}{\emptyset \vdash_{\checkmark}} \quad \frac{\Gamma \vdash_{\checkmark}}{\Gamma, x : A \vdash_{\checkmark}} \quad \frac{\Gamma \vdash_{\checkmark} \quad \Gamma \text{ tick-free}}{\Gamma, \checkmark \vdash_{\checkmark}}$$

Fig. 12. Well-formed contexts of  $\lambda_{\checkmark}$ .

$$\frac{\Gamma, x : A, \Gamma' \vdash_{\checkmark} \quad \Gamma' \text{ tick-free or } A \text{ stable}}{\Gamma, x : A, \Gamma' \vdash_{\checkmark} x : A} \quad \frac{\Gamma \vdash_{\checkmark}}{\Gamma \vdash_{\checkmark} \langle \rangle : 1} \quad \frac{n \in \mathbb{Z}}{\Gamma \vdash_{\checkmark} \bar{n} : \text{Int}}$$

$$\frac{\Gamma \vdash_{\checkmark} s : \text{Int} \quad \Gamma \vdash_{\checkmark} t : \text{Int}}{\Gamma \vdash_{\checkmark} s + t : \text{Int}} \quad \frac{|\Gamma|, x : A \vdash_{\checkmark} t : B}{\Gamma \vdash_{\checkmark} \lambda x. t : A \rightarrow B} \quad \frac{\Gamma \vdash_{\checkmark} s : A \quad \Gamma, x : A \vdash_{\checkmark} t : B}{\Gamma \vdash_{\checkmark} \text{let } x = s \text{ in } t : B}$$

$$\frac{\Gamma \vdash_{\checkmark} t : A \rightarrow B \quad \Gamma \vdash_{\checkmark} t' : A}{\Gamma \vdash_{\checkmark} t t' : B} \quad \frac{\Gamma \vdash_{\checkmark} t : A \quad \Gamma \vdash_{\checkmark} t' : B}{\Gamma \vdash_{\checkmark} \langle t, t' \rangle : A \times B} \quad \frac{\Gamma \vdash_{\checkmark} t : A_1 \times A_2 \quad i \in \{1, 2\}}{\Gamma \vdash_{\checkmark} \pi_i t : A_i}$$

$$\frac{\Gamma \vdash_{\checkmark} t : A_i \quad i \in \{1, 2\}}{\Gamma \vdash_{\checkmark} \text{in}_i t : A_1 + A_2} \quad \frac{\Gamma, x : A_i \vdash_{\checkmark} t_i : B \quad \Gamma \vdash_{\checkmark} t : A_1 + A_2 \quad i \in \{1, 2\}}{\Gamma \vdash_{\checkmark} \text{case } t \text{ of in}_1 x. t_1; \text{in}_2 x. t_2 : B}$$

$$\frac{|\Gamma|, \checkmark \vdash_{\checkmark} t : A}{\Gamma \vdash_{\checkmark} \text{delay } t : \text{OA}} \quad \frac{\Gamma \vdash_{\checkmark} t : \text{OA} \quad \Gamma' \text{ tick-free}}{\Gamma, \checkmark, \Gamma' \vdash_{\checkmark} \text{adv } t : A} \quad \frac{\Gamma \vdash_{\checkmark} t : \square A}{\Gamma \vdash_{\checkmark} \text{unbox } t : A} \quad \frac{\Gamma^{\square} \vdash_{\checkmark} t : A}{\Gamma \vdash_{\checkmark} \text{box } t : \square A}$$

$$\frac{\Gamma \vdash_{\checkmark} t : A[\text{O}(\text{Fix } \alpha. A)/\alpha]}{\Gamma \vdash_{\checkmark} \text{into } t : \text{Fix } \alpha. A} \quad \frac{\Gamma \vdash_{\checkmark} t : \text{Fix } \alpha. A}{\Gamma \vdash_{\checkmark} \text{out } t : A[\text{O}(\text{Fix } \alpha. A)/\alpha]} \quad \frac{\Gamma^{\square}, x : \square(\text{OA}) \vdash_{\checkmark} t : A}{\Gamma \vdash_{\checkmark} \text{fix } x. t : A}$$

Fig. 13. Typing rules of  $\lambda_{\checkmark}$ .

- $sC: \Gamma, \checkmark, \Gamma' \vdash_{\checkmark} C[s \text{ adv } t] : A$  implies that there is some  $A'$  with  $\Gamma, \checkmark, \Gamma' \vdash_{\checkmark} s : A' \rightarrow A$  and  $\Gamma, \checkmark, \Gamma' \vdash_{\checkmark} C[\text{adv } t] : A'$ . By induction hypothesis, the latter implies that there is some  $B$  with  $\Gamma \vdash_{\checkmark} t : \text{OB}$  and  $\Gamma, x : \text{OB}, \checkmark, \Gamma' \vdash_{\checkmark} C[\text{adv } x] : A'$ . Hence,  $\Gamma, x : \text{OB}, \checkmark, \Gamma' \vdash_{\checkmark} s : A' \rightarrow A$ , by Lemma A.1, and thus  $\Gamma, x : \text{OB}, \checkmark, \Gamma' \vdash_{\checkmark} s C[\text{adv } x] : A$ .
- $\text{let } y = s \text{ in } C: \Gamma, \checkmark, \Gamma' \vdash_{\checkmark} \text{let } y = s \text{ in } C[\text{adv } t] : A$  implies that there is some  $A'$  with  $\Gamma, \checkmark, \Gamma' \vdash_{\checkmark} s : A'$  and  $\Gamma, \checkmark, \Gamma', y : A' \vdash_{\checkmark} C[\text{adv } t] : A$ . By induction hypothesis, the latter implies that there is some  $B$  with  $\Gamma \vdash_{\checkmark} t : \text{OB}$  and  $\Gamma, x : \text{OB}, \checkmark, \Gamma', y : A' \vdash_{\checkmark} C[\text{adv } x] : A$ . Hence,  $\Gamma, x : \text{OB}, \checkmark, \Gamma' \vdash_{\checkmark} s : A'$ , by Lemma A.1, and thus  $\Gamma, x : \text{OB}, \checkmark, \Gamma' \vdash_{\checkmark} \text{let } y = s \text{ in } C[\text{adv } x] : A$ .
- $\text{let } y = C \text{ in } s: \Gamma, \checkmark, \Gamma' \vdash_{\checkmark} \text{let } y = C[\text{adv } t] \text{ in } s : A$  implies that there is some  $A'$  with  $\Gamma, \checkmark, \Gamma', y : A' \vdash_{\checkmark} s : A$  and  $\Gamma, \checkmark, \Gamma' \vdash_{\checkmark} C[\text{adv } t] : A'$ . By induction hypothesis, the latter implies that there is some  $B$  with  $\Gamma \vdash_{\checkmark} t : \text{OB}$  and  $\Gamma, x : \text{OB}, \checkmark, \Gamma' \vdash_{\checkmark} C[\text{adv } x] : A'$ . Hence,  $\Gamma, x : \text{OB}, \checkmark, \Gamma', y : A' \vdash_{\checkmark} s : A$ , by Lemma A.1, and thus  $\Gamma, x : \text{OB}, \checkmark, \Gamma' \vdash_{\checkmark} \text{let } y = C[\text{adv } x] \text{ in } s : A$ .

The remaining cases follow by induction hypothesis and Lemma A.1 in a manner similar to the cases above.  $\square$

LEMMA A.3. *Let  $\Gamma, \Gamma' \vdash_{\checkmark} C[\text{adv } t] : A$  and  $\Gamma'$  tick-free. Then there is some type  $B$  such that  $\Gamma \vdash_{\checkmark} \text{adv } t : B$  and  $\Gamma, x : B, \Gamma' \vdash_{\checkmark} C[x] : A$ .*

PROOF. We proceed by induction on the structure of  $C$ :

- $[\ ]$ :  $\Gamma, \Gamma' \vdash_{\not\sim} \text{adv } t : A$  and  $\Gamma'$  tick-free implies that there must be  $\Gamma_1$  and  $\Gamma_2$  such that  $\Gamma_2$  is tick-free,  $\Gamma = \Gamma_1, \checkmark, \Gamma_2$ , and  $\Gamma_1 \vdash_{\not\sim} t : \bigcirc A$ . Hence,  $\Gamma_1, \checkmark, \Gamma_2 \vdash_{\not\sim} \text{adv } t : A$ . Moreover,  $\Gamma, x : A, \Gamma' \vdash_{\not\sim} x : A$  follows immediately by the variable introduction rule.
- $\underline{C}s$ :  $\Gamma, \Gamma' \vdash_{\not\sim} C[\text{adv } t] s : A$  implies that there is some  $A'$  with  $\Gamma, \Gamma' \vdash_{\not\sim} s : A'$  and  $\Gamma, \Gamma' \vdash_{\not\sim} C[\text{adv } t] : A' \rightarrow A$ . By induction hypothesis, the latter implies that there is some  $B$  with  $\Gamma \vdash_{\not\sim} \text{adv } t : B$  and  $\Gamma, x : B, \Gamma' \vdash_{\not\sim} C[x] : A' \rightarrow A$ . Hence,  $\Gamma, x : B, \Gamma' \vdash_{\not\sim} s : A'$ , by Lemma A.1, and thus  $\Gamma, x : B, \Gamma' \vdash_{\not\sim} C[x] s : A$ .
- $\text{let } y = s \text{ in } C$ :  $\Gamma, \Gamma' \vdash_{\not\sim} \text{let } y = s \text{ in } C[\text{adv } t] : A$  implies that there is some  $A'$  with  $\Gamma, \Gamma' \vdash_{\not\sim} s : A'$  and  $\Gamma, \Gamma', y : A' \vdash_{\not\sim} C[\text{adv } t] : A$ . By induction hypothesis, the latter implies that there is some  $B$  with  $\Gamma \vdash_{\not\sim} t : B$  and  $\Gamma, x : B, \Gamma', y : A' \vdash_{\not\sim} C[x] : A$ . Hence,  $\Gamma, x : B, \Gamma' \vdash_{\not\sim} s : A'$ , by Lemma A.1, and thus  $\Gamma, x : B, \Gamma' \vdash_{\not\sim} \text{let } y = s \text{ in } C[\text{adv } x] : A$ .

The remaining cases follow by induction hypothesis and Lemma A.1 in a manner similar to the cases above.  $\square$

PROPOSITION A.4 (SUBJECT REDUCTION). *If  $\Gamma \vdash_{\not\sim} s : A$  and  $s \rightarrow t$ , then  $\Gamma \vdash_{\not\sim} t : A$ .*

PROOF. We proceed by induction on  $s \rightarrow t$ .

- Let  $s \rightarrow t$  be due to congruence closure. Then  $\Gamma \vdash_{\not\sim} t : A$  follows by the induction hypothesis. For example, if  $s = s_1 s_2$ ,  $t = t_1 s_2$  and  $s_1 \rightarrow t_1$ , then we know that  $\Gamma \vdash_{\not\sim} s_1 : B \rightarrow A$  and  $\Gamma \vdash_{\not\sim} s_2 : B$  for some type  $B$ . By induction hypothesis, we then have that  $\Gamma \vdash_{\not\sim} t_1 : B \rightarrow A$  and thus  $\Gamma \vdash_{\not\sim} t : A$ .
- Let  $\text{delay}(C[\text{adv } t]) \rightarrow \text{let } x = t \text{ in } \text{delay}(C[\text{adv } x])$  and  $\Gamma \vdash_{\not\sim} \text{delay}(C[\text{adv } t]) : A$ . That is,  $A = \bigcirc A'$  and  $\Gamma, \checkmark \vdash_{\not\sim} C[\text{adv } t] : A'$ . Then by Lemma A.2, we obtain some type  $B$  such that  $\Gamma \vdash_{\not\sim} t : \bigcirc B$  and  $\Gamma, x : \bigcirc B, \checkmark \vdash_{\not\sim} C[\text{adv } x] : A'$ . Hence,  $\Gamma \vdash_{\not\sim} \text{let } x = t \text{ in } \text{delay}(C[\text{adv } x]) : A$ .
- Let  $\lambda x. C[\text{adv } t] \rightarrow \text{let } y = \text{adv } t \text{ in } \lambda x. (C[y])$  and  $\Gamma \vdash_{\not\sim} \lambda x. C[\text{adv } t] : A$ . Hence,  $A = A_1 \rightarrow A_2$  and  $\Gamma, x : A_1 \vdash_{\not\sim} C[\text{adv } t] : A_2$ . Then, by Lemma A.3, there some type  $B$  such that  $\Gamma \vdash_{\not\sim} \text{adv } t : B$  and  $\Gamma, y : B, x : A_1 \vdash_{\not\sim} C[y] : A_2$ . Hence,  $\Gamma \vdash_{\not\sim} \text{let } y = \text{adv } t \text{ in } \lambda x. (C[y]) : A$ .

$\square$

## A.2 Exhaustiveness

Secondly, we show that any closed  $\lambda_{\not\sim}$  term that cannot be rewritten any further is also a closed  $\lambda_{\checkmark}$  term (cf. Proposition A.9 below).

*Definition A.5.*

- (i) We say that a term  $t$  is *weakly adv-free* iff whenever  $t = C[\text{adv } s]$  for some  $C$  and  $s$ , then  $s$  is a variable.
- (ii) We say that a term  $t$  is *strictly adv-free* iff there are no  $C$  and  $s$  such that  $t = C[\text{adv } s]$ .

Clearly, any strictly adv-free term is also weakly adv-free.

In the following we use the notation  $t \not\rightarrow$  to denote the fact that there is no term  $t'$  with  $t \rightarrow t'$ ; in other words,  $t$  is a normal form.

LEMMA A.6.

- (i) *If  $\text{delay } t \not\rightarrow$ , then  $t$  is weakly adv-free.*
- (ii) *If  $\lambda x. t \not\rightarrow$ , then  $t$  is strictly adv-free.*

PROOF. Immediate, by the definition of weakly/strictly adv-free and  $\rightarrow$ .  $\square$

LEMMA A.7. *Let  $\Gamma'$  be not tick-free,  $\Gamma, \checkmark, \Gamma' \vdash_{\checkmark} t : A, t \not\rightarrow$ , and  $t$  weakly adv-free. Then  $\Gamma^\square, \Gamma' \vdash_{\checkmark} t : A$*

PROOF. We proceed by induction on  $\Gamma, \checkmark, \Gamma' \vdash_{\checkmark} t : A$ :

- $\Gamma, \checkmark, \Gamma' \vdash_{\checkmark} \text{adv } t : A$ : Then there are  $\Gamma_1, \Gamma_2$  such that  $\Gamma_2$  tick-free,  $\Gamma' = \Gamma_1, \checkmark, \Gamma_2$ , and  $\Gamma, \checkmark, \Gamma_1 \vdash_{\checkmark} t : \bigcirc A$ . Since  $\text{adv } t$  is by assumption weakly adv-free, we know that  $t$  is some variable  $x$ . Since  $\bigcirc A$  is not stable we thus know that  $x : \bigcirc A \in \Gamma_1$ . Hence,  $\Gamma^\square, \Gamma_1 \vdash_{\checkmark} t : \bigcirc A$ , and therefore  $\Gamma^\square, \Gamma' \vdash_{\checkmark} \text{adv } t : A$ .
- $\Gamma, \checkmark, \Gamma' \vdash_{\checkmark} \text{delay } t : \bigcirc A$ : Hence,  $\Gamma, \checkmark, \Gamma', \checkmark \vdash_{\checkmark} t : A$ . Moreover, since  $\text{delay } t \not\rightarrow$ , we have by Lemma A.6 that  $t$  is weakly adv-free. We may thus apply the induction hypothesis to obtain that  $\Gamma^\square, \Gamma', \checkmark \vdash_{\checkmark} t : A$ . Hence,  $\Gamma^\square, \Gamma' \vdash_{\checkmark} \text{delay } t : \bigcirc A$ .
- $\Gamma, \checkmark, \Gamma' \vdash_{\checkmark} \text{box } t : \square A$ : Hence,  $(\Gamma, \checkmark, \Gamma')^\square \vdash_{\checkmark} t : A$ , which is the same as  $(\Gamma^\square, \Gamma')^\square \vdash_{\checkmark} t : A$ . Hence,  $\Gamma^\square, \Gamma' \vdash_{\checkmark} \text{box } t : \square A$ .
- $\Gamma, \checkmark, \Gamma' \vdash_{\checkmark} \lambda x.t : A \rightarrow B$ : That is,  $\Gamma, \checkmark, \Gamma', x : A \vdash_{\checkmark} t : B$ . Since, by assumption  $\lambda x.t \not\rightarrow$ , we know by Lemma A.6 that  $t$  is strictly adv-free, and thus also weakly adv-free. Hence, we may apply the induction hypothesis to obtain that  $\Gamma^\square, \Gamma', x : A \vdash_{\checkmark} t : B$ , which in turn implies  $\Gamma^\square, \Gamma' \vdash_{\checkmark} \lambda x.t : A \rightarrow B$ .
- $\Gamma, \checkmark, \Gamma' \vdash_{\checkmark} \text{fix } x.t : A$ : Hence,  $(\Gamma, \checkmark, \Gamma')^\square, x : \square \bigcirc A \vdash_{\checkmark} t : A$ , which is the same as  $(\Gamma^\square, \Gamma')^\square, x : \square \bigcirc A \vdash_{\checkmark} t : A$ . Hence,  $\Gamma^\square, \Gamma' \vdash_{\checkmark} \text{fix } x.t : A$ .
- $\Gamma, \checkmark, \Gamma' \vdash_{\checkmark} x : A$ : Then, either  $\Gamma' \vdash_{\checkmark} x : A$  or  $\Gamma^\square \vdash_{\checkmark} x : A$ . In either case,  $\Gamma^\square, \Gamma' \vdash_{\checkmark} x : A$  follows.
- The remaining cases follow by the induction hypothesis in a straightforward manner. For example, if  $\Gamma, \checkmark, \Gamma' \vdash_{\checkmark} s t : A$ , then there is some type  $B$  with  $\Gamma, \checkmark, \Gamma' \vdash_{\checkmark} s : B \rightarrow A$  and  $\Gamma, \checkmark, \Gamma' \vdash_{\checkmark} t : B$ . Since  $s t$  is weakly adv-free, so are  $s$  and  $t$ , and we may apply the induction hypothesis to obtain that  $\Gamma^\square, \Gamma' \vdash_{\checkmark} s : B \rightarrow A$  and  $\Gamma^\square, \Gamma' \vdash_{\checkmark} t : B$ . Hence,  $\Gamma^\square, \Gamma' \vdash_{\checkmark} s t : A$ .

□

LEMMA A.8. *Let  $\Gamma, \checkmark, \Gamma' \vdash_{\checkmark} t : A, t \not\rightarrow$  and  $t$  strictly adv-free. Then  $\Gamma^\square, \Gamma' \vdash_{\checkmark} t : A$ . (Note that this Lemma is about  $\lambda_{\checkmark}$ .)*

PROOF. We proceed by induction on  $\Gamma, \checkmark, \Gamma' \vdash_{\checkmark} t : A$ .

- $\Gamma, \checkmark, \Gamma' \vdash_{\checkmark} \text{adv } t : A$ : Impossible since  $\text{adv } t$  is not strictly adv-free.
- $\Gamma, \checkmark, \Gamma' \vdash_{\checkmark} \text{delay } t : \bigcirc A$ : Hence,  $\Gamma^\square, \Gamma', \checkmark \vdash_{\checkmark} t : A$ , which in turn implies that  $\Gamma^\square, \Gamma' \vdash_{\checkmark} \text{delay } t : \bigcirc A$ .
- $\Gamma, \checkmark, \Gamma' \vdash_{\checkmark} \text{box } t : \square A$ : Hence,  $(\Gamma, \checkmark, \Gamma')^\square \vdash_{\checkmark} t : A$ , which is the same as  $(\Gamma^\square, \Gamma')^\square \vdash_{\checkmark} t : A$ . Hence,  $\Gamma^\square, \Gamma' \vdash_{\checkmark} \text{box } t : \square A$ .
- $\Gamma, \checkmark, \Gamma' \vdash_{\checkmark} \lambda x.t : A \rightarrow B$ : That is,  $\Gamma, \checkmark, \Gamma', x : A \vdash_{\checkmark} t : B$ . Since, by assumption  $\lambda x.t \not\rightarrow$ , we know by Lemma A.6 that  $t$  is strictly adv-free. Hence, we may apply the induction hypothesis to obtain that  $\Gamma^\square, \Gamma', x : A \vdash_{\checkmark} t : B$ , which in turn implies  $\Gamma^\square, \Gamma' \vdash_{\checkmark} \lambda x.t : A \rightarrow B$ .
- $\Gamma, \checkmark, \Gamma' \vdash_{\checkmark} \text{fix } x.t : A$ : Hence,  $(\Gamma, \checkmark, \Gamma')^\square, x : \square \bigcirc A \vdash_{\checkmark} t : A$ , which is the same as  $(\Gamma^\square, \Gamma')^\square, x : \square \bigcirc A \vdash_{\checkmark} t : A$ . Hence,  $\Gamma^\square, \Gamma' \vdash_{\checkmark} \text{fix } x.t : A$ .
- $\Gamma, \checkmark, \Gamma' \vdash_{\checkmark} x : A$ : Then, either  $\Gamma' \vdash_{\checkmark} x : A$  or  $\Gamma^\square \vdash_{\checkmark} x : A$ . In either case,  $\Gamma^\square, \Gamma' \vdash_{\checkmark} x : A$  follows.
- The remaining cases follow by the induction hypothesis in a straightforward manner. For example, if  $\Gamma, \checkmark, \Gamma' \vdash_{\checkmark} s t : A$ , then there is some type  $B$  with  $\Gamma, \checkmark, \Gamma' \vdash_{\checkmark} s : B \rightarrow A$  and  $\Gamma, \checkmark, \Gamma' \vdash_{\checkmark} t : B$ . Since  $s t$  is strictly adv-free, so are  $s$  and  $t$ , and we may apply the induction hypothesis to obtain that  $\Gamma^\square, \Gamma' \vdash_{\checkmark} s : B \rightarrow A$  and  $\Gamma^\square, \Gamma' \vdash_{\checkmark} t : B$ . Hence,  $\Gamma^\square, \Gamma' \vdash_{\checkmark} s t : A$ . □

In order for the induction argument to go through we generalise the exhaustiveness property from closed  $\lambda_{\not\llcorner}$  terms to open  $\lambda_{\not\llcorner}$  terms in a context with at most one tick.

PROPOSITION A.9 (EXHAUSTIVENESS). *Let  $\Gamma \vdash_{\not\llcorner}, \Gamma \vdash_{\not\llcorner} t : A$  and  $t \dashrightarrow$ . Then  $\Gamma \vdash_{\not\llcorner} t : A$ .*

PROOF. We proceed by induction on the structure of  $t$  and by case distinction on the last typing rule in the derivation of  $\Gamma \vdash_{\not\llcorner} t : A$ .

$$\frac{\Gamma, \checkmark \vdash_{\not\llcorner} t : A}{\Gamma \vdash_{\not\llcorner} \text{delay } t : \bigcirc A :}$$

- $\Gamma \vdash_{\not\llcorner} \text{delay } t : \bigcirc A :$

We consider two cases:

- $\Gamma$  is tick-free: Hence,  $\Gamma, \checkmark \vdash_{\not\llcorner}$  and thus  $\Gamma, \checkmark \vdash_{\not\llcorner} t : A$  by induction hypothesis. Since  $|\Gamma| = \Gamma$ , we thus have that  $\Gamma \vdash_{\not\llcorner} \text{delay } t : \bigcirc A$ .
- $\Gamma = \Gamma_1, \checkmark, \Gamma_2$  and  $\Gamma_2$  tick-free: By definition,  $t \dashrightarrow$  and, by Lemma A.6,  $t$  is weakly adv-free. Hence, by Lemma A.7, we have that  $\Gamma_1^\square, \Gamma_2, \checkmark \vdash_{\not\llcorner} t : A$ . We can thus apply the induction hypothesis to obtain that  $\Gamma_1^\square, \Gamma_2, \checkmark \vdash_{\not\llcorner} t : A$ . Since  $|\Gamma| = \Gamma_1^\square, \Gamma_2$ , we thus have that  $\Gamma \vdash_{\not\llcorner} \text{delay } t : \bigcirc A$ .

$$\frac{\Gamma, x : A \vdash_{\not\llcorner} t : B}{\Gamma \vdash_{\not\llcorner} \lambda x.t : A \rightarrow B :}$$

- $\Gamma \vdash_{\not\llcorner} \lambda x.t : A \rightarrow B :$

By induction hypothesis, we have that  $\Gamma, x : A \vdash_{\not\llcorner} t : B$ . There are two cases to consider:

- $\Gamma$  is tick-free: Then  $|\Gamma| = \Gamma$  and we thus obtain that  $\Gamma \vdash_{\not\llcorner} \lambda x.t : A \rightarrow B$ .
- $\Gamma = \Gamma_1, \checkmark, \Gamma_2$  with  $\Gamma_1, \Gamma_2$  tick-free: Since  $t \dashrightarrow$  by definition and  $t$  strictly adv-free by Lemma A.6, we may apply Lemma A.8 to obtain that  $\Gamma_1^\square, \Gamma_2, x : A \vdash_{\not\llcorner} t : B$ . Since  $|\Gamma| = \Gamma_1^\square, \Gamma_2$ , we thus have that  $\Gamma \vdash_{\not\llcorner} \lambda x.t : A \rightarrow B$ .

- All remaining cases follow immediately from the induction hypothesis, because all other rules are either the same for both calculi or the  $\lambda_{\not\llcorner}$  typing rule has an additional side condition that  $\Gamma$  have at most one tick, which holds by assumption.  $\square$

### A.3 Strong Normalisation

PROPOSITION A.10 (STRONG NORMALISATION). *The rewrite relation  $\dashrightarrow$  is strongly normalising.*

PROOF. To show that  $\dashrightarrow$  is strongly normalising, we define for each term  $t$  a natural number  $d(t)$  such that, whenever  $t \dashrightarrow t'$ , then  $d(t) > d(t')$ . A *redex* is a term of the form  $\text{delay } C[\text{adv } t']$  with  $t$  not a variable, or a term of the form  $\lambda x.C[\text{adv } s]$ . For each redex occurrence in a term  $t$ , we can calculate its *depth* as the length of the unique path that goes from the root of the abstract syntax tree of  $t$  to the occurrence of the redex. Define  $d(t)$  as the sum of the depth of all redex occurrences in  $t$ . Since each rewrite step  $t \dashrightarrow t'$  removes a redex or replaces a redex with a new redex at a strictly smaller depth, we have that  $d(t) > d(t')$ .  $\square$

THEOREM A.11. *For each  $\vdash_{\not\llcorner} t : A$ , we can effectively construct a term  $t'$  with  $t \dashrightarrow^* t'$  and  $\vdash_{\not\llcorner} t' : A$ .*

PROOF. We construct  $t'$  from  $t$  by repeatedly applying the rewrite rules of  $\dashrightarrow$ . By Proposition A.10 this procedure will terminate and we obtain a term  $t'$  with  $t \dashrightarrow^* t'$  and  $t' \dashrightarrow$ . According to Proposition A.4, this means that  $\vdash_{\not\llcorner} t' : A$ , which in turn implies by Proposition A.9 that  $\vdash_{\not\llcorner} t' : A$ .  $\square$